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2018

### **document version**

Publisher's PDF, also known as Version of record

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### **citation for published version (APA)**

Verfaillie, S. C. J. (2018). *Neuroimaging in subjective cognitive decline: Incipient Alzheimer's Disease unmasked*.

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# CHAPTER 2

## **SUBJECTIVE COGNITIVE IMPAIRMENT COHORT (SCIENCE): STUDY DESIGN AND FIRST RESULTS**

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Published in: *Alzheimer's Research & Therapy (in press)*

*"No, our science is no illusion. But an illusion it would be to suppose that what science cannot give us we can get elsewhere."(S. Freud)*

## ABSTRACT

**Introduction.** We aimed to (1) describe the Subjective Cognitive Impairment Cohort (SCIENCE) study design, (2) cross-sectionally describe participant characteristics, and (3) evaluate *SCD-plus criteria*.

**Methods.** SCIENCE is a prospective cohort study of SCD patients. Participants undergo extensive assessment, including CSF collection and optional amyloid PET scan, with annual follow-up. Primary outcome measure is clinical progression.

**Results.** Cross-sectional evaluation of the first 151 participants (age  $64\pm 8$ , 44%F, MMSE  $29\pm 2$ ) showed that 28 (25%) had preclinical AD (amyloid status available:  $n=114(75\%)$ ), 58(38%) had subthreshold psychiatry, and 65(43%) had neither. More severe subjective complaints were associated with worse objective performance. *SCD-plus criteria* age $\geq 60$ (OR (95%CI) 7.7(1.7-38.9)) and APOE e4(OR 4.8(1.6-15.0)) were associated with preclinical AD.

**Conclusions.** The SCIENCE study confirms that SCD is a heterogeneous group, with preclinical AD and subthreshold psychiatric features. We found a number of *SCD-plus criteria* to be associated with preclinical AD. Further inclusion and follow-up will address important questions related to SCD.

## INTRODUCTION

Alzheimer's disease (AD) develops gradually, and the first pathophysiological changes occur decades before a diagnosis of dementia.<sup>1,2</sup> Research interest is shifting to increasingly earlier stages, as the origin of AD and keys to treatment probably lie in prevention of progression to full-fledged disease. Preclinical AD is defined as an asymptomatic stage of AD, in which AD biomarkers are aberrant, but clinical symptoms of objective cognitive decline are not present.<sup>3</sup> Subjective cognitive decline (SCD) refers to the experience of cognitive decline, without formal deficits on neuropsychological testing, nor any other neurological or psychiatric diagnosis explaining cognitive complaints.<sup>4</sup> The subjective experience of cognitive decline has been suggested to be one of the first symptoms of AD, and patients with SCD have an increased risk of progression to MCI or dementia, especially when complaints are reported by both patient and informant.<sup>5–10</sup> In cognitively normal individuals with SCD, biomarkers of AD can already be aberrant, such as low CSF Amyloid-beta<sub>1-42</sub>, increased amyloid deposition on PET scans and thinner medial temporal cortex.<sup>11–14</sup> However, the sequence of neurodegenerative changes eventually leading to AD may vary amongst individuals, and where to place SCD in these pathological sequences remains to be elucidated.

It is difficult to clinically identify preclinical AD in cognitively healthy individuals experiencing memory complaints. To increase the likelihood of preclinical AD in individuals with SCD, the SCD-I working group has proposed the SCD plus criteria.<sup>15</sup> These criteria include biomarkers such as APOE e4 carriership, but also patient specific features such as predominant self-perceived memory decline and feeling of worse memory performance than others of the same age. The SCD plus criteria have been proposed to facilitate harmonizing SCD research, but they have not yet been prospectively validated.

Even though individuals with SCD on average have an increased risk of AD, most individuals with SCD do not harbor Alzheimer pathology. Alternative potential explanations for the experience of memory complaints in cognitive healthy individuals include subthreshold symptoms of affective disorders, personality features, lifestyle factors or systemic illnesses.<sup>16–18</sup> To evaluate the contribution of different factors related to SCD we have set up the memory clinic based Subjective Cognitive Impairment Cohort (SCIENCE). In this ongoing cohort study we investigate individuals with SCD, without major psychiatric or neurological disorders. Here, we aimed to (i) describe the SCIENCE study design, (ii) cross-sectionally evaluate participants characteristics and factors related to cognitive complaints, and (iii) evaluate recently defined SCD-plus criteria as indicators of preclinical AD.

**Table 1. Demographic features of the study population**

	N	Total group (n=151)	Preclinical AD (n=28)	Subthreshold psychiatry (n=58)	Undetermined (n=65)	P
<b>Demographics</b>						
Age	151	64±8	69±7 <sup>b</sup>	62±8 <sup>a</sup>	64±8 <sup>a</sup>	.002
Gender (female)	151	67 (44)	11 (39%)	27 (47%)	29 (45%)	Ns
Education, years	148	12±3	13±3	12±3	12±2	Ns
Family history dementia	140	76 (54)	18 (75%) <sup>b</sup>	20 (36%) <sup>a</sup>	38 (62%) <sup>b</sup>	.002
APOE e4 carrier	144	55 (38)	17 (65) <sup>b</sup>	17 (30) <sup>a</sup>	21 (34) <sup>a</sup>	.007
			N above cut-off			
<b>Subjective Cognitive</b>						
SCF (1y change) self-report	150	-1.65±2.98	104 (69%)	-2.0±2.3	-2.4±3.2	.004
CCI (5y change) self-report <sup>h</sup>	148	21.8±14.3	89 (60%)	21.4±13.2 <sup>b</sup>	16.7±11.8 <sup>b</sup>	.000
CCI (5y change) informant <sup>h</sup>	127	19.4±17.1	62 (49%)	19.8±13.9 <sup>b</sup>	13.8±14.8 <sup>b</sup>	.000
<b>Mental health</b>						
Quality of Life	149	76±15	79±12	71±16	80±15 <sup>b</sup>	.012
<b>questionnaires</b>						
Depressive symptoms <sup>b</sup>	150	8.3±6.4	17 (11%)	7.0±4.6	12.1±7.3	.000
Anxiety <sup>h</sup>	150	4.0±2.9	13 (13%)	4.1±2.6	5.6±3.1	.000
Distress <sup>h</sup>	150	6.7±5.9	34 (22%)	4.6±4.6	11.1±6.3	.000
Somatization <sup>h</sup>	151	6.3±5.3	31 (21%)	4.6±3.7	10.3±5.7	.000
Neuroticism <sup>h</sup>	145	6.6±5.5	5.2±3.8	10.1±6.4	4.0±2.8	.000
Low mastery <sup>h</sup>	140	10.5±3.9	10.0±3.0	12.8±4.0	8.5±2.7	.000
<b>Cognition</b>						
MMSE	151	28.6±1.2	28.4±1.3	28.5±1.2	28.9±1.2	.031
<i>Memory domain</i>						
RAVLT immediate recall	149	44.3±9.0	43.4±8.7	44.0±9.0	44.6±9.2	Ns
RAVLT delayed recall	149	9.0±2.9	8.5±2.9	9.1±3.0	9.2±2.9	Ns
RAVLT cued recall	149	28.7±1.6	28.7±1.5	28.5±2.3	28.8±1.4	Ns

**Table 1. Demographic features of the study population (continued)**

	N	Total group (n=151)	Preclinical AD (n=28)	Subthreshold psychiatry (n=58)	Undetermined (n=65)	P
<i>Attention</i>						
TMT A <sup>h</sup>	148	34.4±12.8	33.4±12.2 <sup>b</sup>	37.5±14.9 <sup>a</sup>	32.1±10.5 <sup>b</sup>	.014
<i>Executive functioning</i>						
TMT B <sup>h</sup>	147	82.1±33.2	79.5±28.9	89.8±41.0	76.2±25.8 <sup>b</sup>	.058
<i>Language</i>						
Animal fluency	147	23.2±5.2	22.8±4.9	23.1±5.1	23.5±5.6	Ns
<b>MRI</b>						
Normalized brain volume, ml	116	1399±79	1366±75	1407±81	1406±79	Ns
Bilateral hippocampal volume, ml	116	9.9±1.3	10.0±1.4	9.9±1.3	9.8±1.1	Ns
White-matter hyperintensities (present)	116	10 (9)	2 (9)	2 (4)	6 (13)	Ns
Lacunes (>0)	115	3 (3)	1 (4)	2 (5)	0 (0)	Ns
Microbleeds present (>0)	112	19 (17)	7 (30)	4 (10)	8 (17)	Ns

Table 1. Unadjusted results are presented as mean±SD or N (%). Differences between groups were assessed using age, gender and education adjusted ANOVA or Chi-squared tests. a=p<0.05 difference with preclinical AD, b=p<0.05 difference with subthreshold psychiatry, h=higher scores reflect worse performance or more symptoms. Abbreviations: APOE=Apolipoprotein E genotype, CCI=cognitive change index, IQR=interquartile range, MMSE=mini mental state examination, RAVLT=Rey Auditory Verbal Learning Test, SCF=subjective cognitive functioning, SD=standard deviation, TMT=trail making test.

**Table 2. Associations between subjective and objective cognitive measures**

	SCF	CCI-self	CCI-informant
CCI-self <sup>h</sup>	-.39**		
CCI-informant <sup>h</sup>	-.19*	.49**	
EuroQol	.25*	-.33**	-.15
MMSE	.14	-.30**	-.10
RAVLT immediate recall	.01	-.21*	-.15
RAVLT delayed recall	.03	-.16	-.04
RAVLT cued recall	-.12	-.23*	-.17*
TMT A <sup>h</sup>	-.06	.12	.17
TMT B <sup>h</sup>	-.17	.23*	.26*
Animal fluency	.15	-.15	-.14

Associations are presented as standardized beta, adjusted for age, gender and education. \* p<0.05, \*\* p<0.001, <sup>h</sup>=higher scores reflect worse cognitive performance. SCF = subjective cognitive functioning questionnaire (lower scores indicate more complaints), CCI = cognitive change index (higher scores indicate more complaints), MMSE = Mini-Mental State Examination, RAVLT = Rey Auditory Verbal Learning Test, TMT = Trail Making Test.

**Table 3. SCD-plus criteria and the risk of preclinical AD in individuals with available amyloid status (n=114)**

Predictor	Data availability (N)	Prevalence of SCD-plus criteria		Risk of preclinical AD	
		Preclinical AD (n=28)	Amyloid negative (n=86)	Univariate model	Multivariate stepwise model
Memory specific decline	94	13 (59%)	37 (51%)	1.4 (0.5-3.6)	-
Onset <5 years	111	12 (46%)	43 (51%)	0.8 (0.3-2.0)	-
Age ≥ 60y	114	26 (93%)	54 (63%)	7.7 (1.7-34.6)	3.8 (1.7-20.4)
Experience of worse performance than others	90	13 (65%)	44 (63%)	1.1 (0.4-3.1)	-
Informant reports decline	97	15 (60%)	32 (44%)	1.9 (0.7-4.7)	-
APOE e4 carriership	110	17 (65%)	23 (27%)	5.0 (2.0-12.8)	6.2 (1.7-22.2)

Prevalence of each SCD-plus criterion in individuals with and without preclinical AD, presented as N(%), within cases with amyloid status available (n=114). Risk of preclinical AD separately (univariate models) for each SCD-plus criterion and independent predictors of preclinical AD in a multivariate stepwise model in SCIENCE participants with available amyloid status (n=114), results presented as Odds Ratio (95% Confidence Interval) (OR (95% CI)).

## METHODS

### Study design and work-up

The Subjective Cognitive Impairment Cohort (SCIENCE) is a prospective cohort study including consecutive patients with SCD presenting at the Alzheimer center of the VU university medical center Amsterdam. Here, we extensively describe the study design of the ongoing SCIENCE study. In addition, we report results based on a selection of cross-sectional data of the first 151 SCIENCE participants.

Inclusion criteria for SCIENCE are a diagnosis of SCD (i.e. cognitive complaints and normal cognition) and age  $\geq 45$  years. Exclusion criteria are MCI, dementia, major psychiatric disorder (i.e. current depression, personality disorders, schizophrenia), neurological diseases known to cause memory complaints (i.e. Parkinson's disease, epilepsy), HIV, abuse of alcohol or other substances, and language barrier.

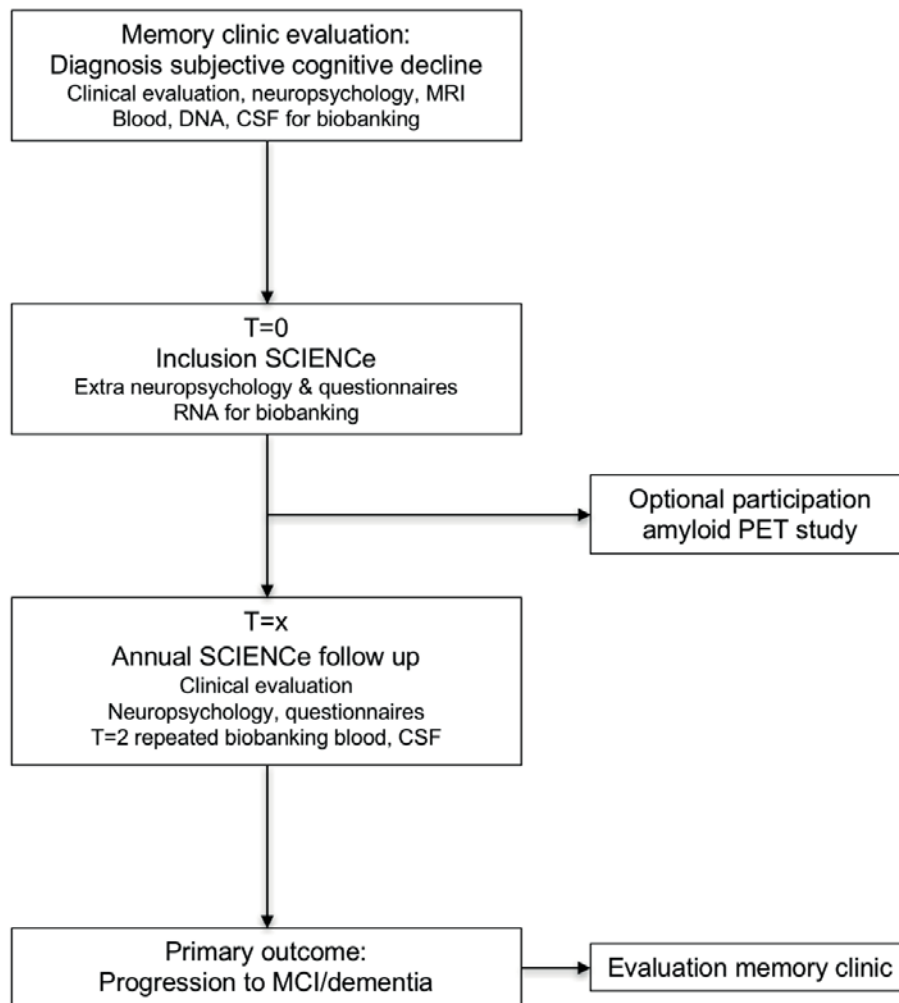
All participants have been referred to the memory clinic by their general practitioner, a neurologist or geriatrician in case of a second opinion for evaluation of cognitive complaints. They receive a standardized dementia screening at the memory clinic, including an interview with a neurologist, physical and neurological examination, neuropsychological assessment, as well as routine analyses of blood, CSF and brain magnetic resonance imaging (MRI). After the standardized dementia screening, diagnoses are made in a multidisciplinary consensus meeting. Patients receive a label of SCD when cognitive functioning is normal and when there is no diagnosis of MCI, dementia or any other disease known to cause memory complaints.<sup>19</sup> When subtle symptoms of an underlying psychiatric diagnosis, such as depression, are suspected, patients are evaluated by an experienced psychiatrist to exclude possible formal psychiatric diagnoses as cause of cognitive complaints.

Eligible patients with SCD are invited to participate in SCIENCE. After inclusion in SCIENCE, participants are invited for additional baseline assessments, which are described in detail below. After completion of baseline assessment, patients are invited for an annual follow up visit consisting of clinical evaluation, extensive neuropsychological assessment and questionnaires. At each follow up visit diagnoses are re-evaluated under supervision of a neurologist. Main outcome measures are clinical progression to MCI or dementia and decline in cognitive functioning. If patients progress to MCI or dementia they are offered the possibility to return to routine memory clinic follow up. The SCIENCE work-up is visualized in figure 1.

The local medical ethics committee of the VU University Medical Center approved the study and all patients provide written informed consent for the use of their clinical data and biomaterial in research. All research is conducted in accordance with the Helsinki Declaration of 1975.

SCIENCE inclusion started in June 2014. In the first two years, 243 consecutive individuals aged 45 years or older received a diagnosis of SCD, of which 56 were not eligible for





**Figure 1. SCIENCE work-up at baseline and annual follow-up study visits. Primary outcome is clinical progression to MCI or dementia.**

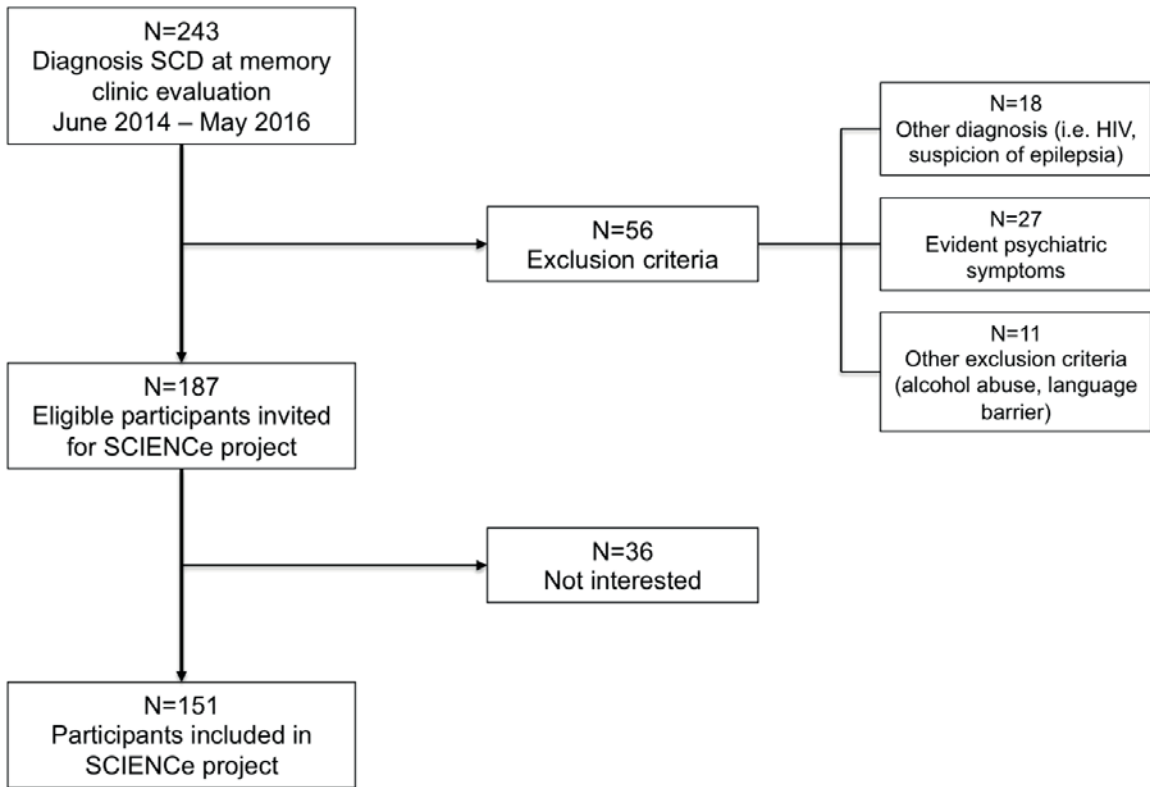
participation and 36 individuals were not interested in participation (figure 2). This led to inclusion of 151 individuals in SCIENCE until the start of data analysis for the current report. In this cross-sectional report of SCIENCE baseline findings, we evaluate these first 151 participants. Further inclusion in SCIENCE and follow up of participants is currently ongoing.

### Questionnaires

The supplementary table provides a detailed overview of questionnaires, used to evaluate subjective cognitive decline, mental health, instrumental activities of daily living and lifestyle (i.e. dietary intake, and physical and cognitive activity).

### *Subjective cognitive decline*

We use the Dutch translation of the Cognitive Change Index – self (CCI-S) and informant report (CCI-I) (20 questions, range 0 to 80) to assess cognitive function compared to five



**Figure 2. Flow-chart of inclusion of SCIENCE participants evaluated in the current report (n=151). Further inclusion and follow-up is currently ongoing.**

years ago.<sup>13</sup> Higher scores reflect worse subjective cognitive function. The CCI cut-off for significant cognitive complaints is set at 16/80.<sup>20</sup> In addition, we use the Subjective Cognitive Functioning (SCF) questionnaire (4 questions, range: -12 to +12) to assess self-experienced cognitive decline over a one-year time period.<sup>21</sup> A SCF score below zero represents decline.

*Psychiatric symptoms*

We use the following questionnaires to evaluate psychiatric symptoms: depressive symptoms (CES-D<sup>22</sup>), anxiety (HADS-A<sup>23</sup>), neuroticism (NPV neuroticism subscale<sup>24,25</sup>), low mastery (Pearlin Mastery scale<sup>26</sup>), distress and somatization, defined as non-specific physical complaints (4-DKL distress and somatization subscales<sup>24</sup>), and quality of life (EuroQol<sup>27</sup>). For all psychiatric and quality of life questionnaires higher scores reflect worse performance. See supplementary table for cut-offs of questionnaires.

**Neuropsychological evaluation**

All participants received a comprehensive standardized neuropsychological assessment at the regular memory clinic evaluation.<sup>19</sup> As part of SCIENCE baseline investigation, we perform an additional neuropsychological assessment (time between assessments: median 37 days), evaluating cognitive domains: memory, language, attention, executive

and visuo-spatial functioning, with a special emphasis on memory, see supplementary table for an overview of the complete SCIENCE test battery. This test battery is repeated at follow up.

In this paper we report on a subset of the neuropsychological assessment. We used the MMSE to assess global cognition.<sup>28</sup> For the memory domain we used the Dutch version of the Rey Auditory Verbal Learning Test (RAVLT) – direct recall (5 trials summed) and delayed recall and cued recall (both >20 minutes).<sup>29</sup> We used Trail Making Test (TMT) A to evaluate attention, and TMT B to evaluate executive functioning.<sup>30</sup> To evaluate language functioning we used categorical animal fluency.

### **Magnetic resonance imaging**

Structural MRI is acquired during the diagnostic visit to the memory clinic using a MR750 (General Electric, Milwaukee, USA), Philips PET/MR (Philips Medical Systems, Best, The Netherlands), or Toshiba Titan (Toshiba Medical Systems, Otawara, Japan). MRI protocol includes isotropic 3D T1-weighted and Fluid Attenuated Inversion Recovery (FLAIR) T2-weighted, and Susceptibility Weighted Imaging (SWI). T1-weighted images are used to estimate hippocampal and normalized brain volumes (NBV) using FIRST and SIENAX with optimized settings (FMRIB software library v5, Oxford, UK)<sup>31,32</sup> is derived from a tissue-type segmentation, using optimized parameters settings, and a scaling factor to normalize for skull size.<sup>32</sup> All registrations are visually inspected for artefacts. All images are read by a neuroradiologist in a standardized fashion. The severity of white-matter hyperintensities (WMHs) using the Fazekas scale is determined on the FLAIR sequence (possible range 0–3), and dichotomized into absent (0–1) or present (2–3). Lacunes are defined as deep lesions (3–15 mm) with CSF-like signal on all sequences. Lacunes are scored as absent or present ( $\geq 1$  lacune). Microbleeds are defined as small dot-like hypointense lesions on T2-weighted MRI. Microbleed count is dichotomized into absent or present ( $\geq 1$  microbleed). Here, we present baseline normalized brain volume, bilateral hippocampal volume, WMHs, lacunes and microbleeds. MRI data within one year from SCIENCE inclusion were available for N=116 (77%) participants.

### **Biomaterial for biobanking**

Blood (serum and plasma), DNA, and CSF are obtained and stored in our biobank at the department of Clinical Chemistry of the VU University Medical Center Amsterdam, according to international consensus standard operation procedures.<sup>33,34</sup>

#### *Blood and DNA*

Venous blood (2–6 ml clotted blood for serum and 6 ml EDTA blood for plasma) is processed and stored according to international consensus standard operation procedures. 2–4 ml EDTA whole blood is collected for DNA extraction. After collection plasma and serum

samples are centrifuged at room temperature at 2000 x g (min 1,800 x g, max 2,200 x g), aliquoted into 0.5 ml vials and stored at  $-80^{\circ}\text{C}$ .

#### *RNA*

After inclusion in SCIENCE one PAXgene Blood RNA tube (PreAnalytiX, Qiagen, Venlo, The Netherlands) is collected and without aliquoting stored in the biobank at  $-80^{\circ}\text{C}$ .

#### *CSF*

CSF is collected from non-fasted subjects. CSF is obtained by lumbar puncture between the L3/L4 or L4/L5 intervertebral space by a 25-gauge needle and collected in polypropylene tubes.

After collection CSF and plasma samples are centrifuged at room temperature at 2000 x g (min 1,800 x g, max 2,200 x g), aliquoted into 0.5 mL vials and stored at  $-80^{\circ}\text{C}$ . A maximum of 2 hours is allowed between collection and freezing.<sup>33,34</sup>

#### **APOE genotyping**

*APOE* genotyping is performed after automated genomic DNA isolation from 2-4 mL EDTA blood. It is subjected to PCR, checked for size and quantity using a QIAxcel DNA Fast Analysis kit (Qiagen, Venlo, The Netherlands) and sequenced using Sanger sequencing on an ABI130XL. Here, *APOE* status was available for  $n=144$  (95%). Subjects with at one or two  $\epsilon 4$  alleles were classified as *APOE*  $\epsilon 4$  carriers.

#### **Cerebrospinal fluid markers**

From the total amount of collected CSF at memory clinic visit, 2.5 mL is used for routine analyses, including leukocyte count, erythrocyte count, glucose concentration, and total amount of protein, and frozen at  $-20^{\circ}\text{C}$  until further analysis of Alzheimer biomarker. Amyloid-beta<sub>1-42</sub> (Abeta42), tau and tau phosphorylated threonine 181 (ptau) levels are measured using ELISA (Innogenetics-Fujirebio, Ghent, Belgium) at the Neurochemistry Laboratory.<sup>35</sup> Our center cut-off for CSF Abeta42 indicating AD pathology is  $<640$  ug/L.<sup>36</sup>

#### **Positron Emission Tomography**

All participants are invited to participate additionally in an amyloid PET study. Patients are scanned with either [<sup>18</sup>F]florbetapir (or Amyvid) or [<sup>18</sup>F]florbetaben (Neuraceg) radiotracer. Before scanning, one cannula is inserted for tracer infusion. For florbetapir, 90 minutes dynamic PET emission scans (PET/CT Ingenuity TF or Gemini TF, Philips Medical Systems, Best, The Netherlands) are acquired immediately following bolus injection of approximately 370MBq [<sup>18</sup>F]florbetapir. For florbetaben, 20 minutes static PET emission scans (PET/MR, Philips Medical Systems, Best, The Netherlands) are acquired 90 minutes after a bolus injection of approximately 250MBq [<sup>18</sup>F]florbetaben. All PET scans are visually

read by a nuclear medicine physician. For the current manuscript, PET-scans were available for 105/151 (69%) participants.

### **Amyloid status**

Information on amyloid status was available for 114 (75%) participants (PET only N=38 (25% of total), CSF only N=9 (6%), CSF&PET N=67 (44%)). Amyloid status could be determined if: (i) CSF and/or amyloid PET were performed within one year of baseline visit, or (ii) if repeated amyloid measurements were concordant before and after baseline (i.e. both negative or both positive). There were seven cases with discordant PET/CSF results. In all seven cases, CSF Aβ<sub>42</sub> was above the cut-off of 640ug/L (range 645 – 881ug/L), but amyloid PET was positive; we considered these cases as amyloid positive.

### **Categorization of participants according to concomitant symptoms**

In this cross-sectional report of SCIENCE baseline findings, we categorized SCIENCE participants into categories based on the presence of preclinical AD and/ or subthreshold psychiatry, as potential factors associated with SCD<sup>4</sup>:

#### *Preclinical AD*

Amyloid positive individuals based on PET and/or CSF amyloid (see paragraph 2.9) were classified as preclinical AD.

#### *Subthreshold psychiatry*

Individuals with one or more questionnaires indicative of subthreshold symptoms of depression, anxiety, neuroticism, low mastery, distress or somatization, were classified as subthreshold psychiatry (see supplement for overview of questionnaires and cut-offs used). Fulfillment of clinical criteria for a formal psychiatric diagnosis was an exclusion criterion for SCIENCE, hence psychiatric symptoms measured with the questionnaires were subthreshold. When participants were amyloid positive, but also had subthreshold psychiatric symptoms they were classified in the preclinical AD group. Amyloid status was not available in the subthreshold psychiatry category for 21 of 58 cases (36%).

#### *Undetermined*

When participants were neither amyloid positive, nor was there any indication of subthreshold psychiatric symptoms, they were classified in the undetermined category. Amyloid status was not available in the undetermined category for 16 of 65 patients (25%).

### **SCD-plus criteria**

The SCD-plus criteria refer to specific features of SCD associated with an increased likelihood of preclinical AD.<sup>4</sup> The SCD-plus criteria are: (1) *subjective decline in memory, rather than*

*other domains of cognition* (in our study defined as ‘memory decline present’ as evaluated in the SCF questionnaire), (2) *onset of SCD within the last 5 years*, (3) *age at onset of SCD  $\geq 60$  years*, (4) *concerns (worries) associated with SCD*, (5) *feeling of worse performance than peers* (here operationalized with a specific question in the CCI questionnaire), (6) *confirmation of perceived cognitive decline by an informant* (here operationalized as a CCI informant report score above cut-off of significant symptoms ( $>16$ )), and (7) *APOE e4 carriership*. We evaluated the SCD-plus criteria with the exception for criterion *worries associated with SCD* (4), which we considered present in all, since participants all visited our memory clinic because of cognitive complaints.

### Statistical analyses

Data were analyzed using IBM SPSS Statistics, version 22 (IBM, Armonk, NY). We assessed baseline features of the study population and evaluated differences between participant categories (preclinical AD, subthreshold psychiatry or undetermined), using chi-squared tests or ANOVA, adjusted for age and gender, as appropriate, followed by post-hoc analyses. We used univariate linear regression analyses to assess associations between cognitive complaints (CCI-S, CCI-I and SCF) and neuropsychological test scores, adjusted for age and gender.

Furthermore, we evaluated the prevalence of the SCD-plus criteria in participants with available amyloid status. Subsequently, we used logistic regression to investigate the associations of SCD-plus criteria with the risk of preclinical AD. First, we performed univariate models with each SCD-plus criterion separately (model 1). Then, we constructed model 2 as a multivariate model with backward stepwise selection with the 6 available SCD plus criteria. We considered  $p < 0.05$  significant.

## RESULTS

### Baseline demographics

At baseline the first 151 SCIENCE participants were on average  $64 \pm 8$  years old (range 45-84 years), and 67 (44%) were female (table 1). Participants received on average  $12 \pm 3$  years of education, and 76 (54%) had a family history of dementia. 55 participants (38%) were APOE e4 positive (APOE e4 status available for  $n=144$  (95%)).

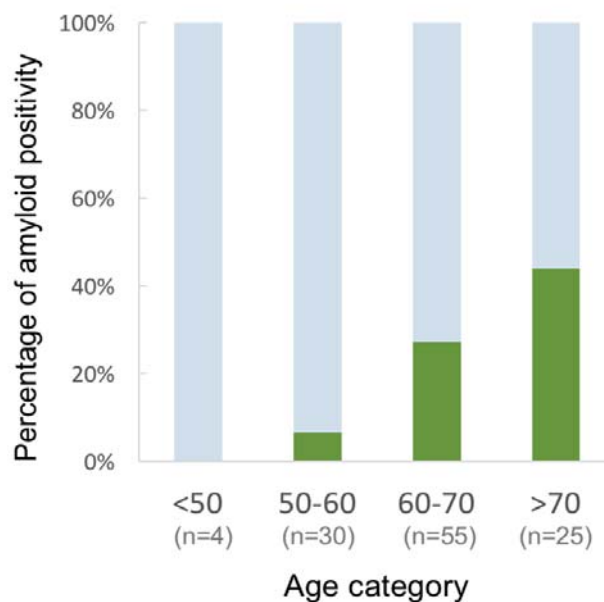
### Self-report of SCD

We cross-sectionally assessed report of subjective cognitive functioning compared to one year ago (SCF self-report) and five years ago (CCI; both self- and informant-report; Table 1)). Over the preceding five-year time period 146 (97%) participants reported cognitive decline (CCI-S), of which 89 (60%) reported substantial decline. Over a one-year time period (SCF)

104 (69%) participants reported substantial cognitive decline. Adjusted for age, gender and education, higher CCI-S was associated with worse SCF (standardized Beta  $-.40$ ,  $p < 0.001$ ), and CCI-S was also associated with CCI-I (sBeta  $0.48$ ,  $p < 0.001$ ; table 2). In addition, we found that higher self-report of subjective cognitive functioning (CCI-S and SCF) was associated with worse quality of life (sBeta  $-.34$ ; sBeta  $.25$ ; both  $p < 0.05$ ). Furthermore, higher CCI (both self and informant) were associated with worse performance on cognitive tests (table 2), while there were no associations between SCF and objective measures of cognition.

### SCD groups

When we attempted to categorize participants according to the presence of preclinical AD and/or subthreshold psychiatric symptoms, we found 28 (25% of 114 participants with known amyloid status, and 18% of total sample) with preclinical AD. Higher age was associated with an increased risk of preclinical AD (Odds ratio  $1.14$  (95% CI  $1.06-1.22$ ); figure 3).

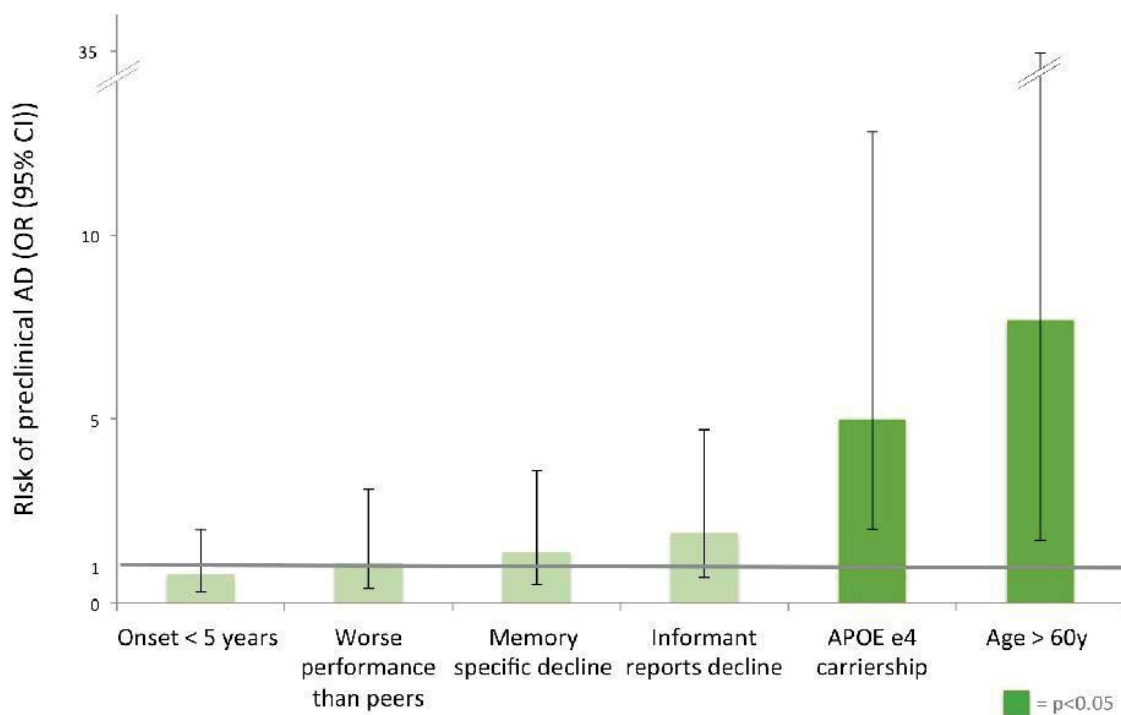


**Figure 3. Percentage of amyloid positivity per age category in participants with available amyloid status (n=114).**

In the remaining sample, 58 (38%) participants reported subthreshold psychiatric symptoms on one or more questionnaires. Of these participants 21% had subthreshold symptoms in the affective cluster, for example depressive (11%) and/or anxiety (13%) symptoms. Roughly one out of three (31%) had distress and/or somatization related symptoms, and in 27% there was an indication of symptoms of neuroticism and/or low mastery. In addition, eight of 28 (29%) patients in the preclinical AD category also had subthreshold psychiatric symptoms.

The largest group of SCD (n=65 (43%)) had neither evidence of amyloid, nor of subthreshold psychiatric symptoms (undetermined category).

Comparing these three SCD groups, participants with preclinical AD were on average older than individuals in the subthreshold psychiatry ( $p<0.001$ ; table 1) and undetermined category ( $p<0.05$ ). Participants with preclinical AD more frequently had a family history of dementia than subthreshold psychiatry, and they were more frequently APOE e4 carrier than the other two groups (all  $p<0.01$ ). There were no differences in gender, education or MRI measures between groups. Self-reported cognitive decline was higher in participants with subthreshold psychiatry than in the undetermined category, with preclinical AD in between (both  $p<0.01$ ). Results were similar for informant reported cognitive decline. Reported quality of life was lower in the subthreshold psychiatry group than in the undetermined category ( $p=0.002$ ), with preclinical AD in between. Comparing objective cognitive performance between groups, the group with subthreshold psychiatry performed worse on the TMT-A compared to preclinical AD and undetermined groups (all  $p<0.05$ ). Also, subthreshold psychiatry performed worse on the TMT-B than the undetermined group ( $p<0.05$ ), but there were no differences in other cognitive tests, see Table 1.



**Figure 4. Risk of preclinical AD for each SCD-plus criterion (Odd ratios (95% Confidence Interval)) in participants with available amyloid status (n=114).**

**SCD-plus criteria and the risk of preclinical AD**

Univariate logistic regression analyses showed that SCD-plus criteria ‘age≥60’ (OR 7.7 (95% CI 1.7-34.6)) and ‘APOE e4 carriership’ (OR 5.0 (2.0-12.8)) were associated with an increased risk of preclinical AD (figure 4), whereas ‘memory specific decline’, ‘onset of complaints within 5 years’, ‘worse performance than other of the same age’, and



'informant reports decline' were not (table 3). In a multivariate stepwise model, APOE e4 carriership (OR 6.2 (1.7-22.2)) and age  $\geq$  60 (OR 3.8 (1.7-20.4)) remained independently associated with preclinical AD.

## DISCUSSION

The SCIENCE project aims to investigate factors potentially related to SCD. Cross-sectional evaluation of the first 151 cognitively normal participants with SCD revealed a heterogeneous group, with preclinical AD in one fifth to one quarter of participants, and subthreshold psychiatric symptoms in more than one third of participants, while the largest group of participants did not have evidence of either. We found that higher report of SCD was associated with lower quality of life, and also with worse cognitive performance. Finally, SCD plus criteria age $\geq$ 60 and APOE e4 carriership were associated with an increased risk of preclinical AD, defined by amyloid positivity on either PET or in CSF.

We measured the degree of subjective complaints with the short SCF questionnaire and used the CCI for more in depth evaluation.<sup>13,21</sup> Almost all participants reported cognitive decline, which seems substantially higher than in the general population,<sup>37</sup> and could be a reflection of our cohort with individuals actively seeking medical evaluation in a memory clinic because of these cognitive complaints. A small minority of 3% did not report any complaints, potentially explained by the fact that participants filled in the questionnaires after a thorough memory clinic evaluation, with reassurance of normal cognitive functioning.

We found that higher report of cognitive complaints was associated with worse quality of life, suggesting that subjective complaints have a negative effect on a general feeling of wellbeing. On the other hand, we cannot exclude reverse causality, as worse quality of life may also affect the subjective appreciation of one's (cognitive) abilities.<sup>38</sup> Furthermore, we found that higher report of cognitive complaints on the CCI (both self and informant) was associated with worse objective cognitive performance in our cognitive normal sample with SCD, which is in line with literature on the CCI and objective performance.<sup>39</sup> Although self-report of SCD has been associated with future cognitive decline,<sup>5,8</sup> and also has been suggested to be more sensitive for subtle decline than informant report in the very earliest stages of cognitive decline, earlier cross-sectional associations have not been consistent.<sup>11,40-42</sup> This could be a result of the use of different SCD measures.<sup>43</sup> Indeed, we found no significant associations between objective cognition and SCF, which measures cognitive complaints over a shorter period of time and consisting of four questions only, in contrast to the observed associations with the CCI.

Cognitive complaints in cognitively normal individuals were previously found to have a broad range of associated symptoms, varying from distress to affective disorders, systemic illnesses, and preclinical AD.<sup>11,12,16,17,40</sup> In the current paper we evaluated the prevalence of preclinical AD and

subthreshold psychiatric features as potential factors associated with the occurrence of SCD.<sup>4</sup> We observed that 25% of participants with available amyloid status had preclinical AD, and amyloid positivity increased with age. Although we did not make a formal comparison, percentages of amyloid positivity per decade seem somewhat higher in our cohort than in individuals with SCD in a recent large meta-analysis investigating amyloid prevalence in non-demented elderly.<sup>44</sup>

In our sample, 38% of participants experienced subthreshold psychiatric symptoms on one or more domains. These symptoms were labeled subthreshold, since individuals with a clear psychiatric diagnosis, such as major depression, were not included. The group with subthreshold psychiatric symptoms reported more cognitive complaints than the group with preclinical AD. We evaluated six psychiatric features which have been previously associated with cognitive complaints in individuals with SCD, and might provide an alternative explanation for the subjective experience of decline.<sup>16,17,40,42,45</sup> On the other hand, several of these psychiatric features, such as depressive symptoms, anxiety, neuroticism and distress, have also been associated with preclinical AD,<sup>46–52</sup> and indeed, we also saw the co-occurrence of preclinical AD and subthreshold psychiatric symptoms in 8 of 28 cases. We are currently following all participants to study clinical progression in these different groups.

For 43% of the remaining SCIENCE participants, we found neither preclinical AD nor subthreshold psychiatry. Individuals in the undetermined category had less cognitive complaints than the other two categories, both reported by themselves and by the informant. Nonetheless, each of these patients was referred to the memory clinic for evaluation of complaints. In the undetermined category we found a higher prevalence of family history of dementia than in the subthreshold psychiatry category, similar to preclinical AD. Perhaps anxiousness related to family history of dementia, rather than the actual experience of cognitive decline, could be a reason to visit the memory clinic for evaluation.<sup>53</sup>

To facilitate harmonization of SCD research, the international SCD Working Group (SCD-I) have published a conceptual framework on SCD research, which included the SCD-plus criteria as determinants of preclinical AD.<sup>4</sup> This is the first time the SCD-plus criteria were comprehensively evaluated in a clinical setting. We found that SCD-plus criteria age $\geq$ 60 and APOE e4 carriership were associated with an increased risk of preclinical AD, which is in line with literature.<sup>11,44,54</sup> The four other SCD-plus criteria we evaluated were not associated with preclinical AD in our cohort. There was a trend for an increased risk of preclinical AD when the informant reported significant decline, but results were not significant. The lack of association between informant report and preclinical AD is in contrast with a previous study showing an association between these factors.<sup>55</sup> This contrast could possibly be explained by differences in informant report measurement methods, as well as, differences in sample size between the previous study and ours. Since informant report seems to be a better predictor of future cognitive decline than patient report,<sup>7,10,56</sup> future longitudinal evaluation of SCIENCE participants and extension of sample size may reveal further relations. Furthermore, criteria ‘worse performance than others of the same age’

and ‘memory specific decline’ were not associated with an increased risk of preclinical AD, which is in contrast to previous studies indicating both concepts to be associated with preclinical AD.<sup>11,57</sup> We used questions from the CCI and SCF to assess these topics (respectively *feeling of worse performance than others (yes/no)* and *how do you evaluate your memory function compared to one year ago (stable/decline)*). For these two SCD-plus criteria differences between results may be caused by methodological variation in SCD measurements, which are known to result in great variation between studies.<sup>43</sup> Criterion ‘onset of symptoms within 5 years’ did not alter the risk of preclinical AD in our cohort. To our knowledge this is the first study evaluating the association between onset of symptoms within 5 years and preclinical AD, whereas others evaluated the risk of future cognitive decline in relation to onset of symptoms, without taking into account preclinical AD.<sup>58–60</sup>

Limitations of the study include the availability of amyloid status in the cohort for 114 of 151 participants. Because of the availability of amyloid status, participants that are now classified in the subthreshold or undetermined category may have preclinical AD of which we are unaware, since we hierarchically first included participants in the preclinical AD group, followed by categorization of the remaining participants (amyloid status negative or unknown) in the other two groups. Strengths of the study include the highly standardized assessment of a broad range of factors potentially related to SCD, including various biomarkers, as well as repeated collection of blood and CSF for biobanking to be able to evaluate biomarkers longitudinally.

In the light of a disease evolving over decades, longitudinal evaluation seems necessary to assess if, and when, those with and without preclinical AD eventually show progression to MCI or dementia. In SCIENCE we aim to evaluate which factors predict progression, but also which factors are protective of future decline. Discriminating preclinical AD from the ‘worried well’ seems especially important as anti-amyloid therapies targeting early stages of AD appear a realistic possibility in the nearby future. Furthermore, assessment of factors other than preclinical AD contributing to SCD may be of importance, since also non-pharmacological interventions seem to be of added value in individuals with SCD.<sup>61</sup>

In summary, this first cross-sectional evaluation of SCIENCE participants revealed that SCD is a heterogeneous group, with besides preclinical AD also subthreshold psychiatric features. We found that subjective report of decline was associated with objective measures. Furthermore, we found a number of *SCD-plus criteria* to be associated with preclinical AD. Further inclusion and follow-up will address important questions related to SCD.

## SUPPLEMENTARY MATERIALS

<https://www.researchgate.net/publication/323280065>

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