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2013

#### **document version**

Early version, also known as pre-print

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#### **citation for published version (APA)**

Sahin, M., Nijkamp, P., & Suzuki, S. (2013). *Survival of the fittest among migrant entrepreneurs. A study on differences in the efficiency performance of migrant entrepreneurs in Amsterdam by means of data envelopment analysis*. (Research Memorandum; No. 2013-8). Faculty of Economics and Business Administration.

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**Survival of the fittest among migrant  
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analysis**

**Research Memorandum 2013-8**

**Mediha Sahin  
Peter Nijkamp  
Soushi Suzuki**

# **SURVIVAL OF THE FITTEST AMONG MIGRANT ENTREPRENEURS**

## **A Study on Differences in the Efficiency Performance of Migrant Entrepreneurs in Amsterdam by Means of Data Envelopment Analysis**

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### **Abstract**

Both locally and globally, we are witnessing a rising interest in innovative entrepreneurial activity as an engine for economic growth. The economic performance of firms (or organizations) can be measured by, inter alia, innovation indicators, market shares, birth/death rates, investment rates and the like, while there is also a great variety of underlying key factors for explaining business performance, such as work attitude, quality and level of education, access to capital, spatial accessibility, network use, etc. In recent years, migrant entrepreneurs have come to occupy a prominent place in the SME sector in many cities in developed countries, with varying degrees of success. The present paper aims to identify and compare the economic performance of individual migrant business firms on the basis of a quantitative assessment of their efficiency profiles. In this context, we will address in particular the drivers and barriers for business strategies of these entrepreneurs. As well as traditional regression methods, a modern operational approach – originating from organizational theory – that aims to make a comparative study of quantitative efficiency differences between individual decision-making units (DMUs) is provided by Data Envelopment Analysis (DEA). In this paper, DEA will be employed in order to identify relative performance differences between distinct categories of migrant entrepreneurs in the city of Amsterdam. A wealth of relevant data has been collected by systematic interviews and survey questionnaires, and these contain a variety of efficiency-oriented indicators, on both the input and the output side. Several sensitivity analyses – using cross-analysis methods – are next carried out to test the robustness of our findings by, inter alia, investigating the influence of socio-cultural ethnic groups, levels of education, first- and second-generation migrants, and age. Finally, the paper offers some lessons on entrepreneurship strategies.

**Key words:** Data Envelopment Analysis, migrant entrepreneurship, efficiency performance

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## 1. Migrants in Urban Business

In a globalizing world, with the increasing openness of countries and regions, we are witnessing a significant and growing interest in innovative and entrepreneurial behaviour as an engine for economic growth. The economic performance of agents can be measured by indicators such as innovativeness, birth/death rates, investments, etc., while there are a great many underlying key factors, such as quality of labour, education, geographic accessibility, network usage, etc. This means that business firms in an urban (or regional) economic system may essentially be conceived of as decision-making units (DMUs) of a multi-product nature, whose task it is to maximize their efficiency in a competitive spatial-economic environment.

Cities are geographic focal points of goods and services markets, housing markets, labour markets, capital markets, and innovation markets (see Sassen, 1991). Through their agglomeration advantages, cities are the most competitive spatial constellations, and are thus a source of permanent vitality, despite the diseconomies of scale present in many cities (Glaeser et al., 2000). The success of flourishing cities usually depends on two essential assets, viz. knowledge and entrepreneurship (see, e.g., Acs et al., 2002; Glaeser, 2011; de Groot et al., 2004). The first, knowledge – in combination with scientific research and education – is one of the driving forces for urban competitiveness and growth. The second factor, i.e. entrepreneurship, is equally important, as entrepreneurship forms the engine for innovation, and hence for the drastic economic and technological transformations that are necessary for vital and growing cities (see Audretsch et al., 2007; van Geenhuizen and Nijkamp, 2012; Nijkamp, 2003; Santarelli, 2006).

Clearly, not all entrepreneurs are equally successful in achieving their business goals and, therefore, insight into the reasons for these individual differences is needed. The focus of the present study is, in particular, on differences in business achievement indicators among various groups of ethnic (migrant) enterprises in an urban system. Our research addresses the relative efficiency of individual migrant entrepreneurs of distinct ethnic origins in an urban economy, notably the city of Amsterdam. We employ an analytical tool from the organizational sciences: namely, Data Envelopment Analysis (DEA), in order to compare the economic performance of distinct migrant entrepreneurs. Methodologically, we also present a new efficiency-improving projection model for a decision-making unit (DMU), so as to obtain a more appropriate movement towards the efficiency frontier of the production system of migrant entrepreneurs. Our efficiency analysis is based on a standard assumption in DEA that the DMU is essentially a multi-product firm with multiple production inputs (e.g. labour, socio-cultural network access) and multiple production outputs (e.g. profits, market share, socio-economic recognition, etc.), whose smart combination will ultimately be decisive for business performance.

Our study will investigate the entrepreneurial performance of migrants – with a focus on personal characteristics, socio-cultural bonds, and managerial skills – from a multicultural urban perspective in order to perform a quantitative assessment of critical success factors (CSFs), with the aim of assessing or improving their relative business

performance. Due empirical insight into entrepreneurial motives and the achievements of migrants is also desirable for enhancing the socio-economic vitality of the city, in particular for developing a promising policy strategy in the modern urban melting pot of varied cultures. Our approach will be applied to an extensive data set on the motives, CSFs, and cultural background of a sample of migrant entrepreneurs in Amsterdam. The data have been collected from recent survey questionnaires distributed among three dominant groups of migrant entrepreneurs in this city, viz. Moroccans, Surinamese, and Turks. The paper is organized as follows; we first give a concise overview of the issues and trends in migrant entrepreneurship. Then, we briefly describe some of the main features of migrant entrepreneurs in the Netherlands, and in Amsterdam in particular. A subsequent section outlines the essence of, and justification for, the use of the DEA model employed in our empirical application. Then, we describe the fieldwork and our database, while the subsequent section is devoted to a presentation and interpretation of the various results obtained. Finally, the last section provides some concluding lessons.

## **2. Immigrants and Entrepreneurship in Modern Cities**

Modern cities, in a globalized world, are increasingly the habitat of international migrants and magnets of economic growth, in which small and medium-sized enterprises (SMEs) and innovative entrepreneurship play a key role in inducing urban economic development. These cities house many migrant entrepreneurs who exert a big, but often unknown, influence on the urban economy. Migrants make up a significant part of the urban population, and their business is critical for the economic development of cities, especially because of their large share in running SMEs.

According to Choenni (1997), migrant entrepreneurship refers to business activities undertaken by migrants with a specific socio-cultural and ethnic background or migrant origin, and it distinguishes itself from 'normal' entrepreneurship through its orientation on migrant products, on migrant market customers, or on indigenous migrant business strategies. Migrant entrepreneurship generates many urban-economic returns through the use of suitable market niches, and helps to reinforce the SME sector as a complement to bigger mainstream companies. In addition, mainstream business can also benefit from the experience and knowledge of minority businesses that emerge as a consequence of the formation of migrant communities, with their sheltered markets and networks of mutual support. As the migrant share expands and diversifies, the opportunities for related migrant suppliers and customers will also grow (Cormack and Niessen, 2002). Nowadays, migrant entrepreneurship is often seen as a great chance for revitalizing urban economic life. The seminal work of Waldinger has been particularly instrumental in this context (see, e.g., Waldinger, 1988, 1996; Waldinger et al., 1990). In his view, migrant minority businesses are a product of the interplay of opportunity structures, group characteristics, and strategies for adapting to the environment.

There has been a fundamental discussion in the literature on the economic impact of migrants, especially at local and regional levels (see, e.g., Borjas, 1991; de Graaff and

de Groot, 2004; de Graaff and Nijkamp, 2010; Greenwood, 1994; Longhi et al., 2007; Nijkamp et al., 2012). Despite the fact that foreign migrants do not, in general, have a demonstrable negative impact on the socio-economic conditions of native people, there is still much concern worldwide for the possible negative consequences of the international migration wave. Against this background, the phenomenon of migrant entrepreneurship has gained much popularity, as this type of self-employment has given a strong impetus to urban revitalization, while avoiding the negative impacts on the labour market that are often perceived (see, e.g., Bates, 1997; Baycan-Levent et al., 2009; Cummings, 1980, or Razin and Light, 1988). Migrant entrepreneurship is also generally regarded as an important self-organizing principle through which migrant minorities are able to improve their weak socio-economic position (Baycan-Levent et al., 2003). Migrant entrepreneurship is thus frequently seen as a sign of hope for urban economies in decay.

Migrants seem to be more inclined towards risk-taking in self-employment than natives or those people who remain in the home country. They usually migrate with a strong desire for social advancement, and are thus more likely to take business risks and become self-employed. The difficulty of getting work has encouraged several migrants to set up their own business. Migrant minorities usually appear to be a highly motivated and qualified entrepreneurial group. Ethnic or migrant entrepreneurship in cities provides the opportunities for, and access to, economic growth, equal opportunities, and upward mobility for many of those who have traditionally been excluded from business, including migrant minorities.

There are several other reasons why migrants opt for entrepreneurship: to be independent or to be their own boss; earn extra income; gain some work experience; maintain family tradition; are dissatisfied with their previous job; need flexibility; want to make a career of having a job in business; or have ideological reasons and leadership qualities (Baycan-Levent et al., 2003). In an early study, Jenkins (1984) has identified three basic explanatory models of ethnic involvement in business, viz. (i) the economic opportunity model; (ii) the culture model; and (iii) the reaction model. The *economic opportunity model* regards migrant minority businesses as relying on the market for their fortunes. The *culture model* assumes that some cultures predispose group members towards the successful pursuit of entrepreneurial goals. The *reaction model* assumes that self-employment amongst members of migrant minority groups is a reaction against racism and blocked avenues of social mobility, a means of surviving in the margins of a white-dominated society. Metcalf et al. (1996) and Clark and Drinkwater (1998) identified the desire to avoid labour market discrimination in the form of low-paid jobs as a principal explanation for the entry of migrants into self-employment. They observed that there is a substantial variation between migrant groups in self-employment, but, in general, they earn less than whites, even whites with similar characteristics. Many migrants prefer the independence of entrepreneurship to a poorly paid job at the bottom of the labour market ladder. With the starting-up of a new enterprise, these people hope to increase their incomes and climb up the social ladder.

The scientific literature on ethnic (migrant, minority, coloured) entrepreneurship is vast. Starting in the USA, in the past few decades research on this issue has boomed.

Migrant entrepreneurship studies focussed sometimes on specific ethnic groups (e.g. Chinese, Indians), sometimes on social bonds between migrant communities, sometimes on ethnic product markets, sometimes on urban-economic melting pot phenomena, and sometimes on migrant business strategies. In recent years, a real avalanche of literature has been published on migrant entrepreneurship, too much to be covered and reviewed in this present article. For some recent overviews, we refer to Kloosterman and Rath (2001), Oliveira and Rath (2008), Baycan-Levent et al. (2004), Dana (2006), Sahin et al. (2009) and Sahin (2012).

Migrant entrepreneurship is clearly not a uniform phenomenon. An underresearched issue in the migrant entrepreneurship literature is the disparity in economic performance among different groups of migrant entrepreneurs. Our study aims to identify the reasons for these differences in business performance. We introduce this topic by providing a concise literature overview.

Kloosterman and Rath (2001) state that the distinctive traits of ethnic entrepreneurship when compared with traditional entrepreneurship are: the origins of the entrepreneurs; the management strategies; the products and services; and the personnel and customers, which in most cases are both of ethnic origin. Therefore, ethnic entrepreneurs often have no contact outside their own immigrant group; this is mainly caused by their lack of knowledge of the host country language and also of the preferences and needs of the locals (Rusinovic, 2008). Therefore, the main issue related to ethnic entrepreneurship is the predominance of informal networks or *mixed embeddedness*, as Kloosterman et al. (1999) propose to call it, which, in many cases, they consider to have a positive effect on the survival rate of ethnic firms.

With the ongoing rise in ethnic minority populations, the economic power of these groups has become a visible fact that no urban policy can afford to ignore. According to Kloosterman and Rath (2001) ethnic entrepreneurs 'can stretch or even challenge the conventional meaning of entrepreneurship'. It is also noteworthy that young people of ethnic origin – often belonging to the second generation – are progressing more than ever before in education and the workforce (Cormack and Niessen, 2002). Therefore, although previously considered to lack financial and educational resources, nowadays many immigrants from less-developed countries present a different profile, being often highly-educated and skilled (Kloosterman and Rath, 2001). Each successful self-employed migrant or minority business firm contributes to improved social and economic integration. A growing migrant economy creates a virtuous circle: business success gives rise to a distinctive motivational structure breeding a community-wide orientation towards entrepreneurship. General major factors that are responsible for the development of migrant and ethnic entrepreneurship are: labour market disadvantages, opportunity structures, group resources, and embeddedness. This creates a clear difference compared with native entrepreneurs.

Chaganti and Greene (2000) showed several significant differences between natives and migrants on variables relating to the entrepreneurs' background characteristics, business-related goals, cultural values, business strategies, and business performance. A prominent characteristic of migrant entrepreneurship is the influence of family and co-ethnic labour on the business. Co-ethnic labour is a critical source of

competitive advantage for migrant business, since it is cheap and the problem of supervision is made easier (Mitter, 1986). Surveys conducted in different European countries show that most migrant businesses have been established with the financial and human capital support of their families. Migrant entrepreneurs regularly do not have sufficient formal security to be able to obtain capital via banks, so they often appeal to their own families or friends to finance their enterprises. They also often apply for relatively small loans, which are less interesting for banks, since the same fixed costs are attached to the provision of a small loan as to larger loans. In addition, many migrants do not seem to know how to approach banks, and are not always familiar with the criteria applicable for loan applications.

A consistent finding from previous research on migrant minority businesses is their low propensity to use mainstream business support agencies, often relying instead on self-help and informal sources of assistance (see Deakins et al., 1997; Ram and Jones-Evans, 1998; Ram and Smallbone, 2002; Carter and Jones-Evans, 2006). The low propensity of migrant entrepreneurs to use mainstream business support and public agencies is caused by demand- and supply-side considerations. Demand-side issues refer to a low level of perceived need of (or a lack of interest in) receiving external assistance. Supply-side issues refer to the inability to reach out to other firms, to inadequate information systems, and to the inappropriateness of the product-oriented approaches used by many support agencies. However, it was recently shown that second-generation entrepreneurs of different ethnic origins have less difficulty in approaching banks (Rusinovic, 2006), and make less use of financial capital from their own group, because they have the abilities and skills to make use of official institutions for vocational guidance. Migrant entrepreneurs usually participate less in formal native networks, such as retailer groups, trade associations, and franchise organizations.

The main proposition put forward in the present study is that migrant entrepreneurship is a very diversified phenomenon, depending on ethnic background, education, social networks, and the like. We therefore, aim to test the hypothesis that there are several important drivers that may be different for distinct migrant entrepreneurs groups, and that may cause significant variations in the business performance of these firms. To provide a proper content for our empirical research, next, in Section 3, we provide a brief overview of issues involved with migrant entrepreneurship in the Netherlands, especially in the bigger cities (with a focus on Amsterdam).

### **3. Migrants as Entrepreneurial Heroes in the Netherlands**

Currently, the Netherlands has a total migrant population of more than 2 mln, of which more than half is represented by the first-generation immigrants. Furthermore, approx. 40 per cent of the total migrant population live in the four biggest cities of the Netherlands (Rotterdam, Amsterdam, The Hague and Utrecht) (Tillie and Slijper, 2006). The larger migrant groups are of Turkish, Moroccan, and Surinamese origin.

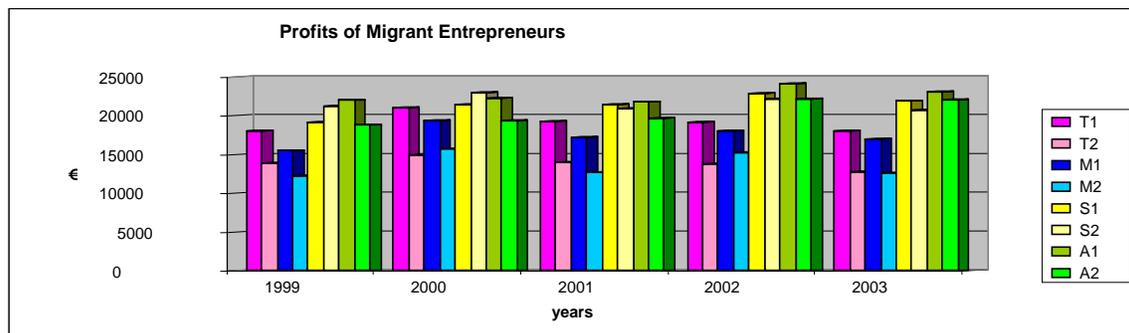
The last three decades have been marked by a permanent increase in the number of migrant entrepreneurs in the Netherlands. Their growth rates were higher than those

of native entrepreneurs in the Netherlands. More details can be found in EIM (2004) and Rusinovic (2008). Nowadays, the major cities in the Netherlands have a rich variety of migrant entrepreneurs. A large part of these migrant enterprises and entrepreneurs can be found in the four big cities. Furthermore, entrepreneurship among migrants is not only concentrated geographically, but is also concentrated in specific sectors, such as hospitality, retailing, and repair services. Many migrants in the Netherlands originate from non-Western countries: Turkey, Morocco, Surinam, and the Dutch Antilles. Migrant entrepreneurs do not form homogeneous groups, but are composed of people with rather different socio-economic and cultural backgrounds. As a consequence, the success in establishing their own enterprise and their business performance/efficiency are clearly different among various minority groups. Also, the entrepreneurship rates of the Turkish and Moroccan entrepreneurs are quite different. The explanation may be related to the cultural background of the migrants. Whereas many first-generation migrants from Turkey already had an entrepreneurial background in their country of birth, migrants from Morocco showed considerably lower entrepreneurial rates. Therefore, the later Turkish generations already come from families with an entrepreneurial background, which may also cause the differences in motivation and orientation.

Migrant minorities consist of two types of migrants. One is the first-generation group, consisting of traditional migrants who were directly recruited for employment reasons. This group is less educated, with most of their education having been acquired in their country of origin. The other group is the second generation, consisting of young dependants born in the host countries where they have had their entire education. This group masters the language of the host country better than the first generation, and is relatively more qualified and acquainted with the local labour market. Not surprisingly, this group is generally found to be more ambitious and selective in choosing a job. Mostly, first-generation migrant entrepreneurs undertake their own business impulsively, without first making a detailed study of the market prospects. As a consequence of this start, they serve the same customer group with the same products and services as their competitors without any distinction. This may lead to enormous price competition, a falling behind in entrepreneurs income', and hence a high fall-out rate amongst young migrant businesses.

Migrant entrepreneurs in the Netherlands are clearly not a uniform business group, but have different ethnic origins, network relationships, cultural adjustment patterns, business styles, and so forth. Consequently, it may be interesting to test whether distinct migrant groups have significant differences in their economic performance. As can be seen from Table 1, the rates of self-employment are quite divergent among different groups. There is also a difference in the sectoral choice of first- and second-generation migrants. The former choose to become self-employed more often in the traditional sectors, i.e. retail, hotels, and catering. On the other hand, the latter are predominantly active in the business and producer services sector. Therefore, most likely the sectoral choice of second-generation entrepreneurs tends to resemble that of the natives more than that of their forerunners.

Figure 1 shows the differences in the profits of first- and second-generation migrant entrepreneurs from the four main groups in the Netherlands. T1 and T2 refer, respectively, to first- and second-generation Turkish entrepreneurs; M1 and M2 refer, respectively, to first- and second-generation Moroccan entrepreneurs; S1 and S2 refer, respectively, to first- and second-generation Surinamese entrepreneurs; and A1 and A2 refer to first- and second-generation Antillean entrepreneurs. Figure 1 indicates that the Antilleans and Surinamese seem very successful; the second-generation entrepreneurs in these groups have almost the same profits as those of the first generation. But, when we look at the migrants from Turkey and Morocco, the profits of the first-generation entrepreneurs are much higher than that of the second generation. Although the Surinamese and Antillean groups are much smaller than the other two groups, they have higher profits. This may be caused by differences in their entrepreneurial behaviour. Thus, it is important to trace the reasons for such differences, and this is our research goal. In our empirical research, we use a modern research tool for assessing individual performance differences among DMUs, based on DEA. In the next section we introduce our empirical research.



**Figure 1:** Distribution of profits among first- and second-generation migrant entrepreneurs  
Source: CBS, 2007

**Table 1.** Number of entrepreneurs (x1,000), 1999-2004.

Year	Turks	Moroccans	Netherlands/ Antilles	Surinamese
1999	7.9	2.8	1.5	6.4
2000	9.2	3.3	1.8	7.1
2001	11.0	4.0	2.0	7.8
2002	11.5	4.3	2.1	7.9
2003	11.9	4.4	2.2	8.0
2004	11.8	4.6	2.1	7.7

Source: CBS, 2007

#### 4. Research Design and Database

##### 4.1. Research approach

As mentioned before, our aim is to undertake an efficiency analysis – based on DEA principles – among individual migrant entrepreneurs of three main ethnic groups in

the city of Amsterdam. The migrant entrepreneurship segment is gaining considerable importance in the business system of this city, but also has several weaknesses with various degrees of economic success. Hence, we are interested in the background factors that act as explanatory input variables for the economic performance indicators (outputs) in the migrant entrepreneurship business system in Amsterdam. Our study analyses the relative efficiency profile of both first- and second-generation migrant entrepreneurs, in the age-group of approximately 18 to 65 years old. Our database stems from detailed and systematic interviews collected from 83 migrant entrepreneurs, mainly in the service sector, in Amsterdam. This turned out to be a time-consuming and painstaking process, as migrant entrepreneurs are not very willing to talk openly about their business with unknown people. The selection process was based on the 'snow-ball' or 'networking' procedure; start with a first group of randomly found migrant entrepreneurs, and try to find more entrepreneurs who are willing to participate in an in-depth interview, sometimes supported by a systematic questionnaire. But the interviews appeared to reflect a reasonably converging perspective on motives and performances of distinct migrant groups. The population was confined to three migrant groups of people who are originally from Turkey, Morocco, and Surinam, because of their size and numbers in the service sector in the city. The approach was based on personally-supervised assistance in handling the various questions and, hence, once an entrepreneur had agreed to participate in this exercise, he was normally willing to complete the questionnaire. This type of approach – detailed micro-based case-study research – is somewhat uncommon in regional science research, but rather usual benchmarking studies in business administration and organisational sociology. The interviews and questionnaires contained questions intended to gain information about the personal details of the entrepreneurs, their motivational factors, socio-cultural network participation, financial and market-related questions, and a set of assessment questions. From the range of questions in the survey, we have chosen those that could serve as indicators for the input factors of the production system of each migrant entrepreneur (DMU), and questions that would help assess the performance of the firms. The list of selected input and output indicators is presented in Table 2.

**Table 2.** Input and output indicators of migrant entrepreneurs

INDICATORS							
Input					Output		
PC*	SE*	IS*	ES*	LS*	MS*	GT*	PT*
Need for achievement	Number of full-time employees	Commitment	Applicable products and services	Negotiation skills	M A R K E T	G R O W T H	P R O F I T
		Culture of enterprise		Communication skills			
Locus of Control	Number of part-time employees	Administration	Availability of finance	Managerial skills	S H A R E	I N T U R N O V E R	
		Reliability		Expectations of market			
		Market knowledge	Innovation	Financial knowledge			
		Customer service		Market orientation			
Risk-taking propensity		Quality					
<b>Input factors</b>				<b>Output factors</b>			
*PC = Personal characteristics (motivation factor)				*MS = Market share			
*SE = Size of enterprise				*GT = Growth in turnover			
*IS = Internal success				*PT = Profit			
*ES = External success							
*LS = Leadership							

It should be noted that – for privacy reasons – there is no public database on migrant entrepreneurship in the Netherlands. All information has to be collected through carefully designed interview schemes. This is a rather painstaking process, regarding not only the interview itself, but also the preparation time required to identify an entrepreneur who is willing to participate. This leads necessarily to small samples (in our case, 83). However, for a benchmark analysis this is a reasonable number. An important test criterion for the size of the sample is whether the marginal information gain from the last interviewees is declining to zero (the ‘zero marginal information gain stopping rule’). Statistical representativeness is then less an issue in this type of field research (Yin, 1984).

The analytical model used here is largely based on a micro-oriented total productivity approach. Based on a comprehensive literature search on drivers of entrepreneurial performance, five major classes of input factors could be identified, namely: personal characteristics; size of enterprise; internal success factors; external success factors; and leadership factors. These five classes could next be subdivided into

sets of measurable variables. These same was done for the output (performance) variables, namely: market share, growth in turnover, and profit. These sets of input and performance variables all together form the production possibility frontier for the firms concerned. They can be reduced by using multivariate statistics. The various input and output indicators will now successively be discussed.

Our independent variable *personal characteristics* comprises 15 items based on the E-Scan of Driessen and Zwart (1996) that embrace the following features: the need for achievement, risk-taking propensity and locus of control. The other independent variable *business characteristics* consists of 11 items which address such elements as: business experience, plant experience, funding, innovation, total number of people working in the enterprise, and business strategy. The two clusters of items representing and forming the personal characteristics and business characteristics variables are further recomputed into one indicator using Principal Component Analysis. A reliability check was undertaken during this process in order to investigate if we could use the constructs for further analysis. This reliability of the clustered indicators and their dimensions were measured with Cronbach's alpha, considering 0.6 or higher to be a critical value (van Velde et al., 2000). The Cronbach's alpha values for all input factors appeared to be sufficient in order to proceed with further research on the impact of the chosen independent variables on the business performance of migrant entrepreneurs.

This data set was used in our DEA model experiments. To take account of socio-cultural differences among migrant entrepreneurs, a distinction is made between the three above-mentioned groups of migrants, while, later on, a further distinction is made according to age, and first- or second-generation migrant education level. The results are presented in Section 6.

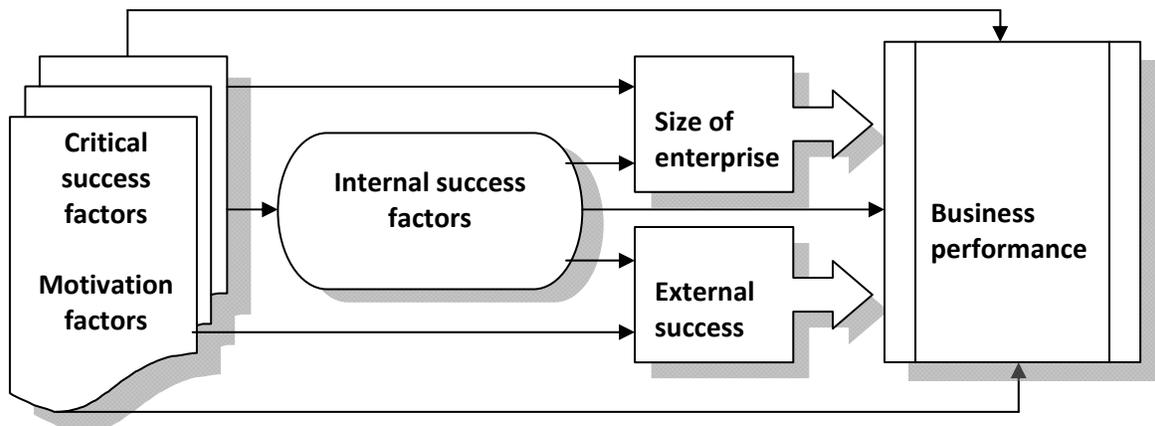
#### **4.2 Database on migrant entrepreneurs in the service sector in Amsterdam**

Migrant entrepreneurs often have to work in an unfamiliar and risky business environment in the Netherlands. Some may tend to be risk-avoiding and hence concentrate on traditional market segments (e.g. markets for ethnic products). Consequently, they may be less entrepreneurially-oriented in terms of risk attitudes concerning undertaking innovative business activities. Many migrant entrepreneurs appear to operate in limited markets with products oriented towards their own ethnic or socio-cultural group. In such a captive market the prospects for break-outs towards serving the wider community are almost nil, as these markets are by definition limited and competitive (the 'ethnic mobility trap'; see Wiley, 1970).

Reliance on social networks of their own socio-cultural group may guarantee a certain market share, but may at the same time hamper an outreach strategy towards new and innovative markets (e.g. high-tech/ICT). A creative 'break-out' action line may strengthen the economic position of migrants and also contribute to urban vitality by bringing new opportunities to the multicultural Dutch cities in the Netherlands. According to Baycan-Levent et al. (2004), a 'break-out' strategy in migrant entrepreneurship can be conceived of as a strategy to escape from the lock-in situation of a relatively small market niche, in which a certain migrant group has a dominant socio-economic position regarding several strategic business factors (e.g. capital, clients,

and employees). The latter study argues that migrant entrepreneurship is on a rising curve, especially in the large cities in the Netherlands, but most migrant entrepreneurs are still found in traditional, low-growth branches of the Dutch economy. In the major Dutch cities, migrant entrepreneurs have gained a prominent position. It seems, however, plausible that they confirm the hypothesis of Light and Roach (1996) that they earn – despite their involvement in traditional branches – more than their fellow migrant workers in paid jobs ('the self-employment bonus').

Despite the rise of entrepreneurs in Dutch cities with a migrant background, it is still an open question whether these entrepreneurs are innovative in the Schumpeterian sense (see also Engelen, 2002; O'Sullivan, 2000). Are their business competences higher than those of native entrepreneurs in the Netherlands (where competences refer to organizational and management skills, proper strategies for coping with market and technical uncertainties, an innovative attitude associated with 'animal spirits', and network participation; see also Cooke and Morgan, 1998; Whitley, 2000)? According to Lin et al. (2006), there is no single route to entrepreneurial success or failure; successful entrepreneurs are those who can adjust their entrepreneurial strategies according to their social capabilities. So, which factors determine the performance of migrant business firms? To that end, we present an explanatory migrant business system which maps out the various forces at work in a competitive urban business environment. This framework offers our testable model (see Figure 2).



**Figure 2:** Framework of the determinants of migrant entrepreneurship

Our study primarily addresses the entrepreneurial behaviour of migrants in the city of Amsterdam – with a focus on their personal characteristics, socio-cultural bonds and managerial skills – from a multicultural urban perspective, in order to perform a quantitative assessment of the critical success factors (CSFs) for migrant entrepreneurs in a cultural network context with the aim of improving business performance in a competitive urban environment. Figure 2 shows the relationship between culture and social networks with a view to the identification of critical success factors (CSFs) for the business performance of migrant entrepreneurs. Those factors are linked to their success and entry into new business markets of migrant entrepreneurs of different ethnic origin in Dutch cities. To that end, it is important to specify relevant input factors

that may explain quantitatively the performance (output factors) of these entrepreneurs. Our approach will be applied to an extensive data set on the motives, CSFs, and cultural background of a sample of migrant entrepreneurs in Amsterdam. The methodology for examining such an analytical model in a quantitative sense, based on DEA, is briefly explained in Section 5.

## **5. Data Envelopment Analysis as an Assessment Tool for Comparing the Business Performance of Firms**

Data Envelopment Analysis (DEA) is a somewhat new “data-oriented” approach for estimating quantitatively the comparative performance of a set of peer entities called Decision-Making Units (DMUs), which convert multiple inputs into multiple outputs. In recent years, DEA has been extensively applied to operational efficiency problems in public and private sector agencies.

In our study, DEA has been applied in order to analyse the efficiency rates of individual entrepreneurs. The general idea is that the production process of a DMU can be described by a generalized production function which may contain multiple input and multiple output factors. The most efficient production technology of such a composite production process can be described by means of the production possibility frontier, while the actual position of a firm – in terms of its realized efficiency or relative use of input factors to achieve a certain output (or a set of outputs) – can be represented by a point in either the input space or the output space.

A major advantage of DEA is that it does not require any a-priori specified functional form of the production technology, since it is generated from empirical data on observed performance measures (both inputs and outputs). In general, DEA models assess the (in)efficiency of a DMU on the basis of the distance to the production possibility frontier that gives the highest possible efficiency. The efficiency analysis developed by Charnes et al. (1978) aims to maximize production efficiency in terms of the ratio of total weighted output to total weighted input, subject to the condition that, in all circumstances, this efficiency measure is smaller than or equal to 1, where the value 1 is representing a maximum efficiency. Thus, the distance to the maximum value 1 is then seen as a measure of inefficiency.

A standard approach in DEA is the estimation of weights, which are calculated in a standard way by specifying a multiple-objective maximization model (in case of multiple outputs). In that case, the weights are determined through a maximization exercise carried out for each DMU. The following steps are normally undertaken (for more details, see Cracolici and Nijkamp, 2006; Suzuki et al., 2010a). We start with a specification of a fractional maximization problem by each DMU (in terms of ratios of weighted outputs to weighted inputs), with the aim to identify the optimal weights. Then follows a transformation of the above nonlinear maximization problem into a standard linear programming problem in order to compute the input and output weights. This primal linear programming model represents an output-oriented approach, while its dual formulation indicates an input orientation (for a given level of outputs, inputs are minimized). If the solution to the maximization problem leads to a

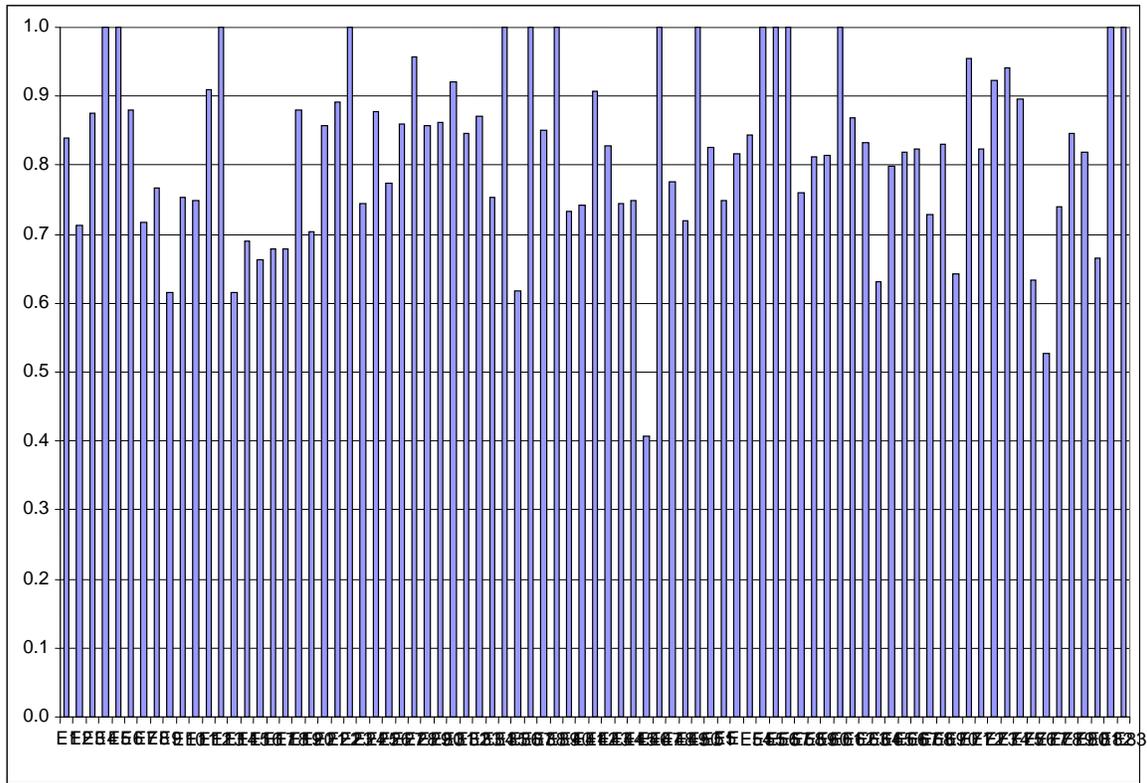
value of 1 for some DMU, then this DMU is efficient (i.e. a case of a non-dominated solution), while a value below 1 indicates a case of inefficiency. Clearly, all points on the efficiency frontier have an equal value of 1. If one or more inputs or outputs are added to the DEA method, this will affect the selection and the number of efficiently-operating DMUs. In general, if more relevant inputs are added, the number of efficient DMUs will rise. Thus, this is a clear reason to pay attention to the specification of the DEA model, while a sensitivity analysis regarding the choice of the inputs or outputs is also desirable.

In Suzuki et al. (2010a, 2010b) a generalized DEA model has been designed, and this is used in our application. This DEA model provides a more effective way to assess the position of non-efficient DMUs, by introducing a generalized Euclidean-based distance friction measure to the production efficiency frontier of DMUs. Thus, this new DEA approach — coined Distance Friction Minimization — is the central tool for explaining differences in the performance of migrant entrepreneurs in Amsterdam, using recently developed software described in Suzuki et al. (2010a, 2010b). The basic idea is that each migrant entrepreneur is a multi-product organization (DMU) that has a set of distinct input descriptors and a set of multiple output (or performance) indicators (see Table 2 for details). The relative efficiency in using inputs to generate outputs is then a measure of the economic success of a DMU, where the relative success performance ranges from 0 (i.e. totally inferior efficiency) to 1 (maximum efficiency). The individual results can then be summarized in an integrated survey table.

## **6. DEA Results for Migrant Entrepreneurs in Amsterdam**

### **6.1 Efficiency analysis results**

The results of our empirical application of the DEA efficiency analysis will now be presented and interpreted. First of all, an overall DEA approach was used for the entire sample of 83 migrant entrepreneurs. As mentioned above, this seems to be a relatively small sample, but in benchmarking experiments in business administration research this is quite common. The main goal is to pinpoint discriminating factors that make a difference for the business performance of DMUs. It is not meant to give a statistically representative cross-section of the data. In this context, it is also noteworthy that DEA is not a stochastic analysis, but only a deterministic identification of significant differences in the drivers of efficiency on productivity. The results are presented in Figure 3 and lead to the following comments. This figure shows that 15 out of 83 DMUs in our study are efficient, since they achieve the maximum efficiency score 1.0. Subsequently, the same DEA model was applied separately to each of the three migrant categories in our study (Turks, Moroccans, Surinamese). Figure 4 illustrates the efficiency rate of DMUs per each distinct migrant group category. Thus, it can be seen that 7 DMUs of Turkish origin, 12 DMUs of Moroccan origin, and 5 DMUs of Surinamese origin are efficient. It is important to note that, in the case of Moroccans, the number of efficient DMUs has doubled in the second efficiency analysis. This shows that Moroccans perform much better in their own circle as opposed to the broader benchmark group. We now try to identify the driving forces for the efficiency differences between and within the various ethnic entrepreneurs' groups.



**Figure 3:** DEA-efficiency scores of individual migrant entrepreneurs  
 Legend: For privacy reasons no individual firm data can be provided.

## 6.2 Cross-analysis results

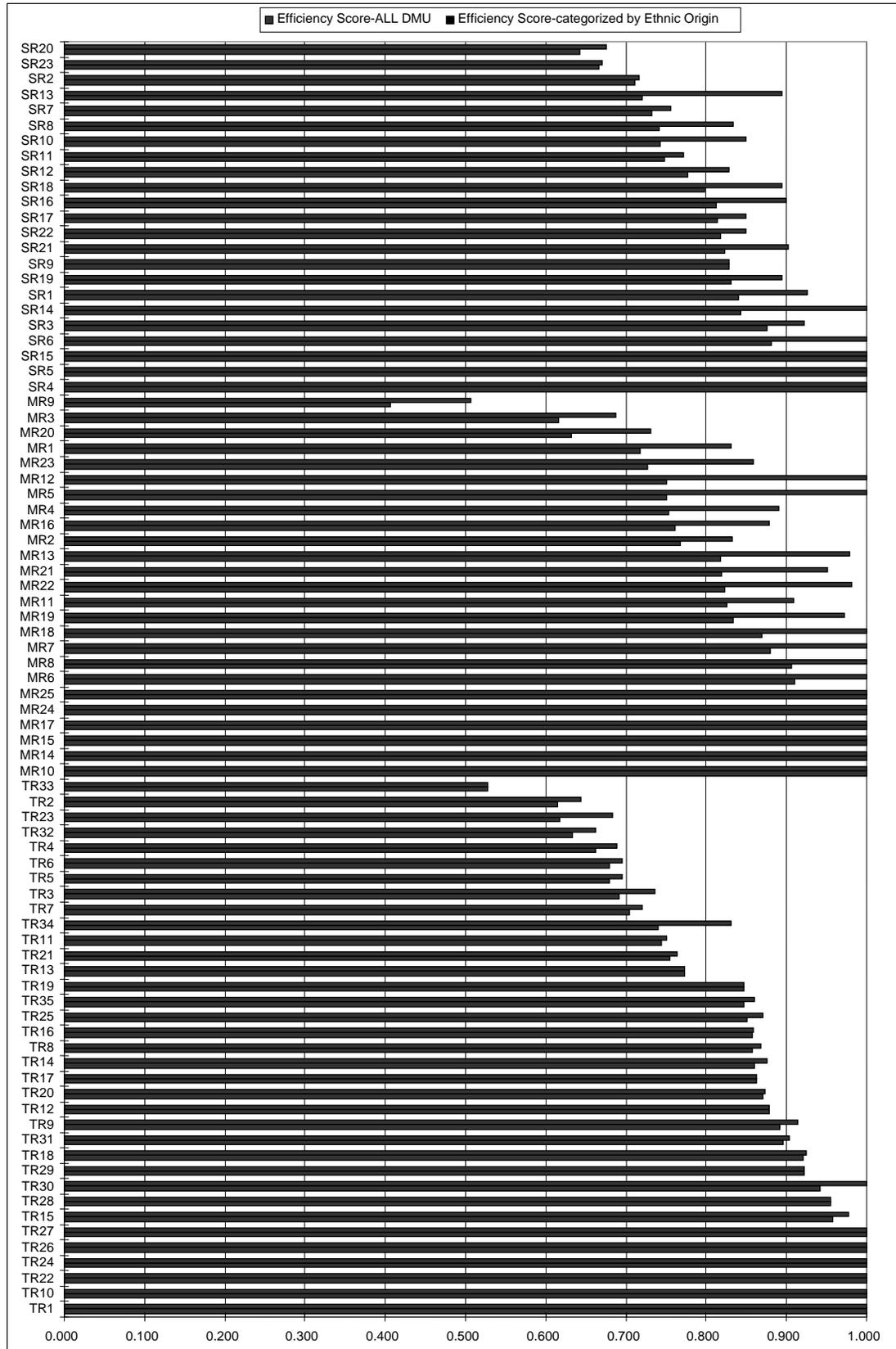
The next step of the statistical analysis was to perform a cross-analysis in order to investigate the personal characteristics of the entrepreneurs so as to investigate the efficiency of the DMUs regarding several distinct categories. The personal characteristics of the entrepreneurs refer to their ethnic origin, age, gender, generation and education. We determined the efficiency score for each DMU according to the categorization of A (1.00); B (0.99-0.80); and C (0.79-0.00). Value A refers to a maximum efficiency score, and value C to a minimum efficiency score.

From the 83 entrepreneurs, 35 were of Turkish origin, 25 of Moroccan origin, and 23 of Surinamese origin. If we look at the efficiency score value A equal to 1, then we see that this top score is achieved by 17.1 per cent of the Turkish entrepreneurs (DMUs), 24.0 per cent of the Moroccan DMUs and 13.0 per cent of the Surinamese DMUs. Concerning value B, which refers to a score between 0.99-0.80, we see that this medium efficiency score is attained by 45.7 per cent of the Turkish DMUs, 36.0 per cent of the Moroccan DMUs, and 43.5 per cent of the Surinamese DMUs. Finally, with respect to value C, which refers to an efficiency score between 0.79-0.00, we see that this lowest efficiency score is assigned to 37.1 per cent of the Turkish DMUs, 40.0 per cent of the Moroccan DMUs, and 43.5 per cent of the Surinamese DMUs.

It is interesting to see that most DMUs with a high efficiency score equal to value 1 (A) are of Moroccan ethnic origin. This means that six entrepreneurs of Moroccan

ethnic origin perform highly efficiently. Although the Turkish DMUs are the biggest group, they have a lower efficiency score. 37.1 per cent of the Turkish DMUs have an efficiency score of value C, and only 17.1 per cent of this group perform efficiently. These results are shown in Figure 5.

From Figure 6, we can see that most entrepreneurs interviewed were in the age range 26 to 30 (29 per cent). However, this was different for each migrant group. Most entrepreneurs of Turkish origin were in the age group 30-35 (10.8 per cent), while most of the entrepreneurs of Moroccan origin were in the age group 25-30 (15.7 per cent), and most of the Surinamese entrepreneurs were in the age group 35-39 (8.4 per cent).



**Figure 4:** Efficiency score categorized by ethnic origin  
 Legend: SR = Surinamese; MR = Moroccan; TR = Turkish

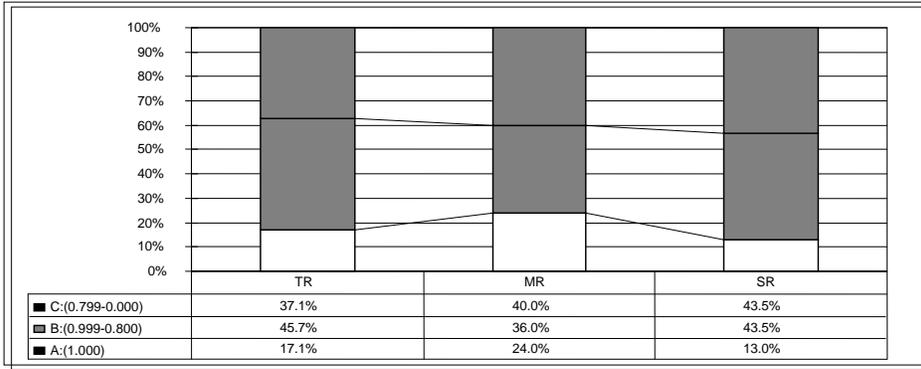


Figure 5: Cross-comparative results on business efficiency according to ethnic origin

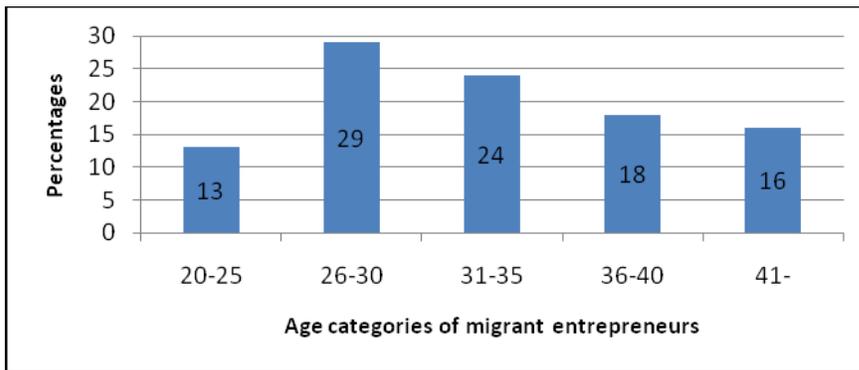


Figure 6: Distribution of age of migrant entrepreneurs

As a third step, we investigated the age cohorts of migrant entrepreneurs (see Figure 7). When we consider the age category of the DMU sample, it is interesting to see that most entrepreneurs are in the age range 26 to 30, which is a relatively young group. It is also interesting to see that 25 per cent of this age category have a high efficiency score equal to value A (i.e. 1.0). But, on the other hand, 54.2 per cent of the same group have the lowest efficiency score of value C (i.e. 0.79-0.00). Thus, this group has both the most efficient and the most inefficient entrepreneurs (DMUs). The most entrepreneurs with an efficiency score of value B, which is equal to 0.99-0.80, are in the age category 41 and older. This means that 69.2 per cent of the DMUs aged 41 and older are performing at a moderate level.

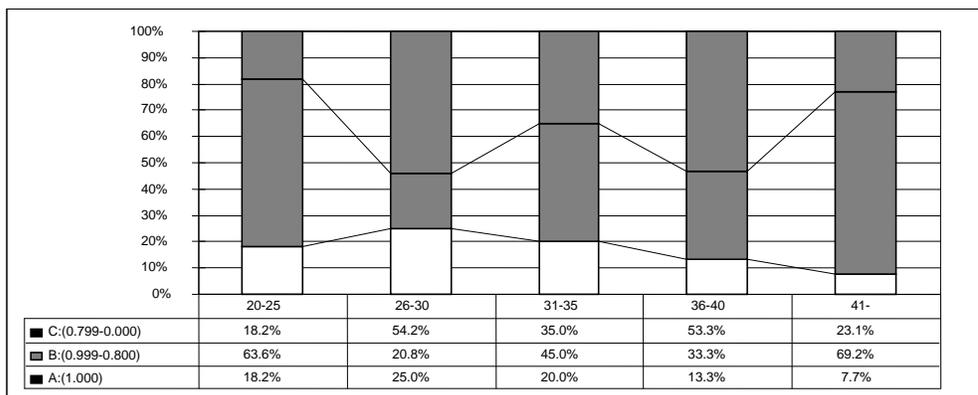
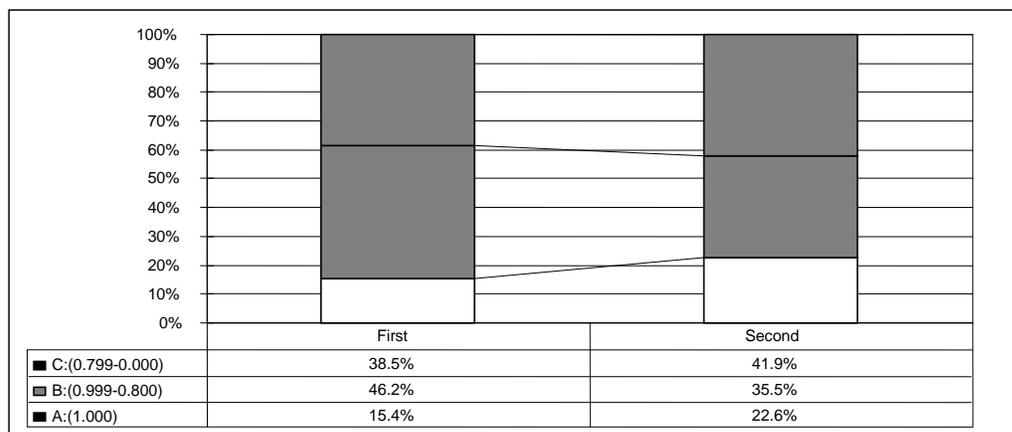


Figure 7: Cross-comparative results on business efficiency according to age cohorts

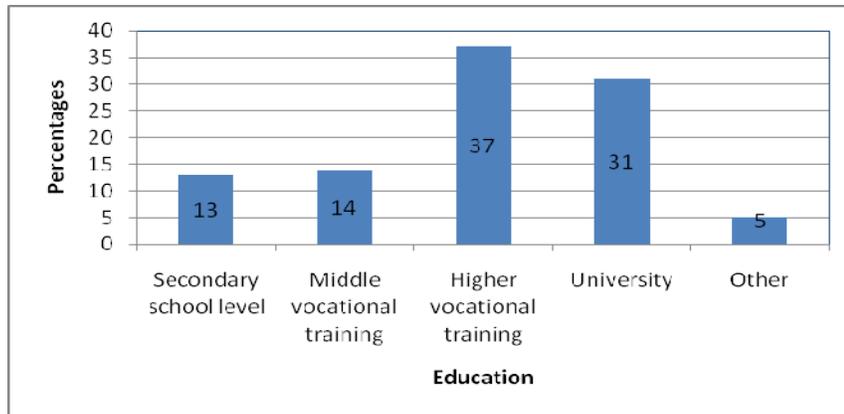
Next, we made a distinction in terms of first- and second-generation migrants (see Figure 8). Many migrants in the Netherlands originate from non-Western countries (e.g. Turkey, Morocco, Surinam and the Dutch Antilles). These migrants belong to either the first generation or the second generation. The first-generation category refers to entrepreneurs who were born in a foreign country (another country than the Netherlands). The second-generation category refers to entrepreneurs who were born in the Netherlands with at least one parent born in a foreign country. In our sample, we only included migrants from Turkey, Morocco, and Suriname, because of their involvement in the service sector, and, moreover, the entrepreneurship rate for the main migrant groups Turks, Moroccans, and Surinamese is increasing in the Netherlands. As yet, hardly any increase has been observed in the number of Antillean entrepreneurs (New Entrepreneurship Action Plan, 2005).

It is interesting to see that 22.6 per cent of the second-generation DMUs have a high efficiency score equal to value A (1.00) (see Figure 8), while only 15.4 per cent of the first-generation DMUs have an efficiency score of value A. It is also very interesting to see that the second-generation DMUs have the highest efficiency score of value C, which is equal to 0.79-0.00.

From Figure 9, we can derive that 37 per cent of the respondents from the total sample have a high vocational education level (HBO). If we look at the University level, we can derive that 31 per cent of the approached migrant entrepreneurs have a University degree. This means that most respondents went to a school with a high education level. When comparing the level of education for the three groups, in particular, we can conclude that in all groups most of the respondents have a level of education representing a high vocational education (HBO). For example, among the Turkish entrepreneurs 13.3 per cent of the respondents have a high vocational education level compared with 14.5 per cent of Moroccan entrepreneurs and 8.4 per cent of the Surinamese entrepreneurs. However, if we only look at the University level, we can conclude that most of the respondents of Surinamese origin went to University and have the highest level of education, viz. university level (WO).

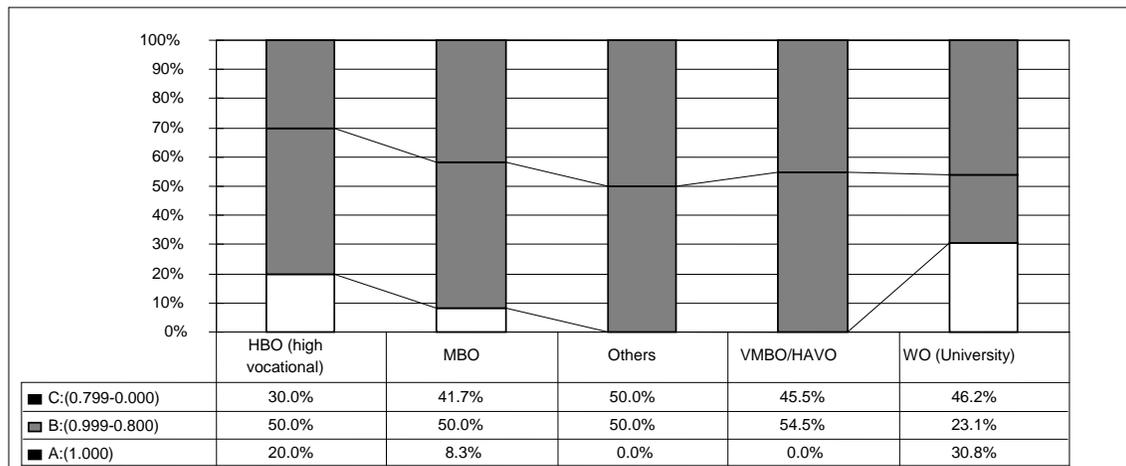


**Figure 8:** Cross-comparative results on business efficiency according to generation



**Figure 9:** Distribution of education level of migrant entrepreneurs

And, finally, we looked into education as a discriminatory variable (see Figure 10). Regarding the education level, we made a distinction between vocational (MBO), high vocational (HBO) and university (WO) education. 30 of the DMUs have a high vocational education level (HBO). It is very interesting to see that 30.8 per cent of the DMUs with a university education have an efficiency score of value A. The most efficient DMUs have a university education. 30.8 per cent of the DMUs with a university education have an efficiency score of value A, while no DMUs with secondary education and other education levels have an efficiency score of value A. This means that their businesses are not performing efficiently. The other efficiently-performing group refers to DMUs with a high vocational education. 20.0 per cent of this group have an efficiency score of value A (1.00). We can conclude, therefore, that a higher level of education will improve the efficiency score of the DMUs.



**Figure 10:** Cross-comparative results on business efficiency according to education level

## 7. Policy Perspectives

The main advantage of ethnic entrepreneurship is its contribution to reducing social exclusion and raising living standards in groups that can often be among the most disadvantaged in society. Migrant entrepreneurs contribute to the supply of a more

diversified range of products, increasing competition, and, indirectly, the quality of products. Furthermore, the benefits of ethnic entrepreneurship consist of social bonds in a cultural network, which create flexible ways to attract personnel and capital and the capacity of generate market niches for specific cultural goods (e.g. music and food). In countries like the Netherlands and the US, migrant entrepreneurship has proven to be an efficient means of socio-economic integration contributing significantly to the overall economic growth and development of the area concerned. Ethnic entrepreneurship has a social as well as an economic impact on a society's development in both the short-term and long-term perspectives. Exploring ethnic entrepreneurs is thus highly important, for both social and economic reasons.

Migrant entrepreneurship is an area that calls for thorough scientific investigation. Of utmost importance is to identify the CSFs of migrant enterprises, which can range from factors at the micro-level to those at a macro-level. Our research addresses a specific niche in the wealth of ethnic entrepreneurship research, viz. the analysis of disparities in the economic performance (efficiency) of different groups of migrant entrepreneurs, through the use of a generalized DEA model. The results of our DEA analysis demonstrate that, on the basis of their efficiency rate, the performance of migrant entrepreneurs may significantly vary based on their efficiency rate. We have analysed both the efficiency of all migrant firms clustered together and also the efficiency of separate migrant groups. Clearly, our findings are provisional and call for further research, as it would be interesting to compare the reasons for the success of those DMUs that have had the higher efficiency scores. Another interesting research area to examine would be the determinants of the low efficiency rates among migrant entrepreneurs, or the possibilities for migrant entrepreneurs to go beyond their ethnic frontiers and expand their activities into broader and other market segments and business lines, competing or liaising with native Dutch entrepreneurs on their own markets. In this framework, the focus might be on the improvement of their skills and knowledge of the Dutch language.

Our research has identified different target groups, distinguished according to ethnicity, education, age or gender. In countries where the government has adopted target-group policies, they have done so for the primary reason of dealing with labour market problems – higher levels of unemployment or inadequate labour market integration. In some cases, target-group policies are more directed at creating future economic growth. In any event, business ownership provides an option for self-sufficiency, economic empowerment, and employment, and in the process creates jobs and wealth and contributes to social and economic well-being. The range of services offered by several governments includes counselling and mentoring, the provision of micro-loans and seed capital funds, award programmes (to create role models), peer networks, promotion of entrepreneurship as a promising option, and tailored information (e.g. in the language of the minority group). It may be interesting to explore effective policy strategies in future research. This will be exemplified by some experiences from elsewhere.

Interesting and promising initiatives for stimulating entrepreneurship can be found, for example, in the US. Almost 30 per cent of the US population consists of

immigrants and ethnic minorities, the fastest growing segment of their labour force. The US is also the most advanced in terms of providing 'full-service' targeting of several underrepresented entrepreneurial groups. There are enterprise centres for women, native Indians, and ethnic minority groups. These are complemented with special micro-loan funds, procurement 'set-aside' programmes, entrepreneurship award programmes, networking and mentoring programmes, SBIR regulations, and so forth. Indeed, a broadly developed business culture forms the seedbed for migrant entrepreneurship, especially in the SME sector.

The EU has committed itself to boost entrepreneurship as part of its strategy to transform its economy, and build and reinforce its future economic and competitive strength. The EU has also called for action in favour of small enterprises and entrepreneurs by, inter alia, adopting the European Charter for Small Enterprises in 2000. Yet, despite several actions taken since then, the EU has so far not succeeded in narrowing the gap in GDP per capita with the US; in fact, the productivity gap is widening. Forward-looking studies indicate that, if Europe allows current economic trends to persist, its percentage share of world production will decline, even if absolute production increases, mainly because the emerging Asian economies are catching up. Europe clearly needs more entrepreneurship to strengthen its economic position. There are about 20.5 million enterprises in the European Economic Space (including Switzerland) which all together employ more than 122 million people. Approximately 93 per cent of these enterprises are very small (0-9 employees), 6 per cent are small (10-49), and less than 1 per cent are medium-sized (50-249), while only 0.2 per cent consist of big enterprises (with 250 or more employees). Most European companies are relatively small with an average size of 6 employees, whereas the average American company employs 19 people. Consequently, the SME sector represents only 46 per cent of the employment total in the US, while it represents over 66 per cent of the total employment in Europe. Differences in the average company size between European countries coincide with differences in economic structure and with institutional and historical barriers. It is noteworthy that countries with a higher GNP have a larger than average company size.

It is often argued that, to release its full entrepreneurial potential, the EU must take serious steps to make Europe more attractive for business activity. Our research has demonstrated that what is needed to fuel the entrepreneurial drive is a clear entrepreneurial mindset, both internal and external. This may mean actively promoting entrepreneurial values to the widest possible audience of potential entrepreneurs, and addressing their fear of risk-taking. Entrepreneurship education is, therefore, an important vehicle to create a proper entrepreneurial mindset among young people.

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